

JOSH SHAPIRO ATTORNEY GENERAL

February 20, 2018

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Pittsburgh, PA 15219

Board of Supervisors Pennsbury Township 702 Baltimore Pike Chadds Ford, PA 19317 Joseph G. Riper, Esq. Riley Riper Hollin & Colagreco P.O. Box 1265 – Constitution Drive – Suite 201 Exton, PA 19341

Re: ACRE Review Request
Pennsbury Township - Chester County - Property

Dear Board of Supervisors & Mr. Riper,

The Family owns a 23.2 acre parcel of land in Pennsbury Township ("Pennsbury"). They have contracted with Forestry Services Corporation ("FSC") to conduct timbering operations on their property. FSC complied with all Department of Environmental Protection ("DEP") regulations pertaining to timber harvesting including, but not limited to, having the Chester County Conservation Commission approve the Erosion & Sedimentation ("E&S") Plan. FSC provided to Pennsbury a complete copy of the submissions made to the Chester County Conservation District including the already approved E&S Plan.

To effectuate the harvesting, the submitted an application to Pennsbury for a temporary driveway access permit. As the Office of the Attorney General ("OAG") understands it, Pennsbury, on the advice of the Township Engineer G.D. Houtman & Sons, Inc., declined to issue the permit until the satisfied certain requirements found in Pennsbury's ordinances. Specifically, Pennsbury required the to comply with the Township's Natural Resource Protection Standards ("NRPS") and its Stormwater Management Ordinance. These requirements included the applying for and getting a Township Land Disturbance Permit accompanied with an application fee, having a timber Harvesting Plan that included the NRPS, and a confirmation that the had complied with Pennsbury's Stormwater Management Ordinance. It appears that the have already paid the \$100.00 fee connected to the processing of the Land Disturbance Permit. However, Pennsbury also requires a \$1,000.00 deposit for permit review to be placed in escrow.

The through the law firm of Riley, Riper, Hollin & Colagreco, filed an Agricultural Communities and Rural Environment ("ACRE"), 3 Pa.C.S. § 311 et seq., complaint with the OAG

contending that Pennsbury's "specific requirements of the Natural Resource Protection Standards...in the Pennsbury Zoning Ordinance as well as the Stormwater Management Regulations" violate ACRE. See Original ACRE Complaint, p. 2. The OAG sent Pennsbury a letter notifying it that an ACRE complaint had been filed. The OAG attached a copy of the complaint to that notification letter, and requested that Pennsbury "please send to me within thirty days...any information that you believe would assist us in our review." The OAG has received no response from Pennsbury.

The state are correct. Ordinances requiring the state to comply with the local NRPS and the Stormwater Management Ordinance, and making them pay the \$1000.00 escrow fee violate state law, therefore, they cannot stand under ACRE.

NRPS and STORMWATER MANAGEMENT ORDINANCES

Pursuant to its authority under the Clean Streams Law, 35 P.S. § 691.1, et seq., the DEP regulates erosion and sediment control and "requires persons proposing or conducting earth disturbance activities to develop, implement, and maintain BMPs [best management practices] to minimize the potential for accelerated erosion and sedimentation and to manage post construction stormwater." 25 Pa.Code § 102.2(a). Timber harvesting is subject to the DEP's E&S regulations. Id., §§ 102.4(b) & 102.5(b) & (d). A timber harvest operation that disturbs more than 5,000 square feet must develop and implement a written E&S Plan. Id., § 102.4(b)(2)(i). An E&S plan is "[a] site specific plan consisting of both drawings and a narrative that identifies BMPs to minimize accelerated erosion and sedimentation before, during and after earth disturbance activities." Id., § 102.1

Matthew Houtman, the Township's engineer, informed FSC by letter dated February 23, 2017 that the could not commence harvesting timber until "a Timber Harvest Plan [was] submitted conforming to the requirements contained in Section 162-1503.D.2 of the Pennsbury Township Zoning Ordinance." Section 163-1503.D.2.a-h, Natural Resource Protection Standards, requires the harvester to provide Pennsbury with information in eight separate areas. However, the information required under six of those eight subsections (a, b, e, f, g, h) is included in the E&S plan prepared under 25 Pa.Code § 102.4(b)(2)(i). As a result, FSC has provided Pennsbury with the information required under six of those eight subsections in the form of the E&S plan.

Regardless, those six subsections are preempted by state law. Under ACRE, "[a] local government unit shall not adopt or enforce an unauthorized local ordinance." 3 Pa.C.S. § 313(a). An "unauthorized local ordinance" is one that is "preempted under State law...." Id., § 312(1)(ii). A local municipality cannot duplicate a state regulatory scheme nor can it "impede a comprehensive, statewide scheme of regulation." Com., Office of Attorney Gen. ex rel. Corbett v.

Subsection (a) -"Site location and boundaries of both the entirety of the property upon which the timber harvesting operation shall occur and the specific area proposed for timber harvesting." Subsection (b) - "Significant natural features on the property including steep slopes, wetlands, and riparian buffer zones." Subsection (c) - "Identification of specimen vegetation as defined by this Chapter." Subsection (d) - "Description of how long-term sustainability of the timber harvesting operation and regeneration of woodlands will be achieved." Subsection (e) - "The general location of the proposed operation in relation to municipal and state highways and any proposed accesses to those highways." Subsection (f) - "Design, construction, maintenance, and retirement of the access system including haul roads, skid roads, skid trails, and landings." Subsection (g) - "Design, construction, maintenance, and retirement of water control measures and structures such as culverts, broad-based dips, filter strips, and water bars." Subsection (h) - "Design, construction, maintenance, and retirement of proposed stream and wetland crossings."

E. Brunswick Twp., 980 A.2d 720, 733 (Pa. Commw. Ct. 2009). When a municipality has ordinances that duplicate and/or impede upon state standards those state requirements override the local regulations. That is the situation here. The six subsections of Section 162-1503.D.2 identified above duplicate requirements already found in DEP regulations pertaining to erosion and sediment control.

The two remaining subsections of Section 162-1503.D.2 also violate ACRE. Section 162-1503.D.2.c mandates that the timber harvesting plan identify "specimen vegetation" and subsection D.2.d requires the timber harvester to describe "how long-term sustainability of the timber harvesting operation and regeneration of woodlands will be achieved." Silviculture² is a "normal agricultural operation" ("NAO") and "[f]orestry and forestry products" are agricultural commodities as defined by the Right to Farm Act ("RTFA"). 3 P.S. § 952. The Municipalities Planning Code ("MPC") explicitly addresses the considerable limitations on municipal authority to regulate timber harvesting as follows:

[z]oning ordinances may not unreasonably restrict forestry activities. To encourage maintenance and management of forested or wooded open space and promote the conduct of forestry as a sound and economically viable use of forested land throughout this Commonwealth, forestry activities, including but not limited to, timber harvesting, shall be a permitted use of right in all zoning districts in every municipality. 53 P.S. § 10603(f).

This provision clearly identifies the intent of the General Assembly to encourage and promote timber harvesting throughout the Commonwealth as a use as of right. See Penn State University ("PSU") College of Agricultural Sciences, Dealing with Local Timber Harvesting Ordinances (Exhibit A). Moreover, the intent of the General Assembly to generally encourage and promote all types of agriculture is made perfectly clear in the RTFA³ and other provisions of the MPC.⁴ Indeed, the General Assembly's Historical and Statutory Notes to ACRE declare that the Commonwealth has a "vested and sincere interest in ensuring the long-term sustainability of agriculture and normal agricultural operations" and "[i]n furtherance of this goal...has enacted statutes to protect and preserve agricultural operations for the production of food and other agricultural products."

Pennsbury states in its ordinances that a "specimen tree" is one that meets the definition of "specimen vegetation." "Specimen Vegetation" is "[a]ny tree or other vegetation to be of specimen quality as determined by a registered architect, registered arborist, or horticulturist or generally fall within the parameters of Figure 2-5." Section 162-202, **Definitions**, Specimen

[&]quot;Silviculture is the art and science of controlling the establishment, growth, composition, health, and quality of forests and woodlands to meet the diverse needs and values of landowners and society such as wildlife habitat, timber, water resources, restoration, and recreation on a sustainable basis." https://www.fs.fed.us/forestmanagement/vegetation-management/silviculture/index.shtml

[&]quot;It is the declared policy of the Commonwealth to conserve and protect and encourage the development and improvement of its agricultural land for the production of food and other agricultural products...It is the purpose of this act to reduce the loss to the Commonwealth of its agricultural resources by limiting the circumstances under which agricultural operations may be the subject matter of nuisance suits and ordinances." 3 P.S. § 951, Legislative policy.

[&]quot;It is the intent, purpose and scope of this act...to promote the preservation of this Commonwealth's...prime agricultural land...to encourage the preservation of prime agricultural land...." 53 P.S. § 10105, Purpose of act. "Zoning ordinances shall encourage the continuity, development and viability of agricultural operations." 53 P.S. § 10603(h). Ordinance provisions.

Vegetation. Figure 2-5, Examples of Potential Specimen Trees, list seventeen different "specimen" tree species and the minimum Diameter at Breast Height (DBH) for these species that must exist before harvesting the tree can be contemplated. It further states that Figure 2-5 contains only "examples of specimen trees" and that the list is "not considered all inclusive for the purpose of defining a specimen tree." The bottom line, therefore, is that a "specimen tree" is whatever the Township deems it to be. It certainly is not restricted to just those seventeen species of a certain diameter; nor is it limited to the normal understanding of a tree that is the focus of interest in someone's garden or that has unique or noteworthy characteristics.

Pennsbury having such a vague and ambiguous definition of "specimen tree" places an unreasonable restriction on timber harvesting in violation of the MPC. Township ordinances cannot be vague and ambiguous. "A local government unit has no authority to adopt an ordinance that is arbitrary, vague or unreasonable or inviting of discriminatory enforcement. Exton Quarries, Inc. v. Zoning Board of Adjustment, 425 Pa. 43, 228 A.2d 169 (1967). A vague ordinance is one that proscribes activity in terms so ambiguous that reasonable persons may differ as to what is actually prohibited. Scurfield Coal, Inc. v. Commonwealth, 136 Pa.Cmwlth. 1, 582 A.2d 694 (1990)." Com., Office of Atty. Gen. ex rel. Corbett v. Richmond Twp., 2 A.3d 678, 681 (Pa. Commw. Ct. 2010). A "specimen tree" is whatever Pennsbury determines it to be. Such a vague standard raises the possibility of arbitrary and/or discriminatory enforcement of the ordinance.

Pennsbury's DBH requirement while perhaps well-meaning is in the end counterproductive. The PSU College of Agricultural Sciences warns against using diameter limits in ordinances:

[d]iameter limit harvesting is a technique in which all trees above a certain specified diameter are removed. This technique, which results in taking only the largest, best trees of the most valuable species, can affect future health and value of the forest stand...mandating a diameter limit on timber harvesting operations can be detrimental. In Pennsylvania forest stands, the smaller trees are typically of the same age as the large trees. The difference in size is often the result of a difference in tree species, a genetically inferior tree, or the result of poor location. Diameter limiting harvesting will eventually shift the composition of the forest and may even degrade the quality of the forest by promoting inferior trees. This practice may also limit future options for forest management and slow the stand's ability to recover from disturbance through the elimination of seed trees for the species removed. As a result, requiring this method of harvest is unreasonable.

PSU College of Agricultural Sciences, Dealing with Local Timber Harvesting Ordinances, p. 17 (Exhibit A).

Pennsbury's requiring the harvester to describe the long-term sustainability and how the regeneration of woodlands will be achieved is also unreasonable under the MPC. "Regeneration" in the silvicultural context is synonymous with artificial regeneration. As the PSU School of Agriculture explains:

[a]rtificial regeneration, reseeding or replanting an area where a forestry operation took place, is often written into timber ordinances as a requirement. An ordinance requiring artificial regeneration, however, is typically not necessary in Pennsylvania. When acceptable silvicultural practices are used, most of Pennsylvania's forest will regenerate naturally from seeds already in the soil or

sprouts from stumps. These naturally regenerated trees have been shown to grow faster and survive better than the planted trees. Therefore, it is unreasonable, both economically and ecologically, to require a forestry operation to artificially regenerate the site. Species requirements also prevent landowners from improving the overall quality of their woodlot...Requiring artificial regeneration can create an unnecessary economic obstacle for a timber harvesting operation. As a result, this requirement is unreasonable and should not be included under local timber harvesting ordinances.

PSU College of Agricultural Sciences, Dealing with Local Timber Harvesting Ordinances, p. 18 (Exhibit A).

What Pennsbury is doing with Section 162-1503.D.2.c & d is elevating the broader question of forest resource management - with an implementation timeframe that often extends across 10 or more years - to the level of an enforceable legal requirement, i.e. an ordinance. This unreasonable restriction on timber harvesting runs contrary to the clear legislative intent of promoting and encouraging timber operations.

Mr. Houtman also stated that the state and to comply with the requirements of Section 162-2041. Section 162-2041, **Timber Harvesting Operation/Forestry**, is nothing more than a summarized restatement of Section 162-1503.D.2 in that it requires adherence to the NPRS and Stormwater/E&S standards.⁵

ESCROW

The Pennsbury Township Fee Schedule is found in the January 3, 2017 Resolution, VI. Land Disturbance Permits which reads "\$100.00 non-refundable application fee plus \$1000.00 minimum deposit for review costs." (emphasis added). The \$100.00 application fee is consistent with ACRE requirements. A Township may require permits and charge a fee to secure that permit. Permitting is required for numerous activities; charging a fee to process the application for the permit is accepted practice. The MPC states a Township "may prescribe reasonable fees with respect to the administration of a zoning ordinance...." 53 P.S. § 10617.3(e). See Golla v. Hopewell Township Board of Supervisors, 452 A.2d 273 (Pa. Commw. Ct. 1982) (A municipality has authority under the MPC to impose a reasonable fee with respect to applications.)

The \$1,000.00 escrow total, however, violates ACRE, as requiring such escrow payments exceeds Pennsbury's authority under the MPC. The MPC expressly prohibits Townships from charging a landowner "expenses for engineering...or other technical consultants...costs" in administering a zoning ordinance. 53 P.S. § 10617.3(e). It is without doubt that the \$1,000.00 will be used to pay for engineering and other technical consultants; Section 163-1503.D.4, Natural Resource Protection Standards of the Pennsbury Ordinances states "[t]he Township Engineer, Zoning Officer, and/or Township Forester shall be permitted access to the site of any timber

And this is a minimum amount. The ordinance is silent on what circumstances would justify a larger escrow amount, what standards would be used in determining when to raise the amount, and how much that amount would

be.

Section 162-2041 also mentions that timber harvesting shall comply with "Subdivision and Land Development" requirements. Timber harvesting is not included in the definitions of either "subdivision" or "land development" in the Municipalities Planning Code (MPC), 53 P.S. § 10107. In fact, the MPC definition of "forestry" specifically excludes timber harvesting "which does not involve land development." *Id.*

harvesting operation before, during, or after active timber harvesting to review, inspect and ascertain compliance with the provisions set forth herein." The Township only has the authority to enforce zoning ordinances as provided for under the MPC. See 53 P.S. §§ 10616.1 & 10617.2. Requiring a significant deposit to cover the costs of engineering or technical consultants, such as a Forester, is not permitted under the MPC.

As timber harvesting is a permitted use by right in all zoning districts under the MPC, Pennsbury's attempt to escrow funds for technical and engineering costs is tantamount to converting the permitted use application into one for a conditional use, which it cannot do.

According to experts specializing in silviculture at the Pennsylvania State University School of Agriculture, an E&S plan is a DEP requirement which has to be submitted for earth disturbance activity. See 25 Pa.Code § 102 et.seq. The plan covers all aspects of the E&S activities associated with harvesting timber (i.e. planning, sediment and water control, and retirement). If a harvester fails to create a plan and follow the law, there are regulatory consequences. Those consequences are enforced by either DEP or the local Conservation District. If Pennsbury has a problem with a harvest not being operated legally or "closed" down correctly, it can contact the DEP or the local Conservation District with a request to take regulatory action. As a result, Pennsbury's imposition of yet another requirement, the \$1,000.00 "deposit for review costs" exceeds its authority under both the MPC and the Commonwealth's E&S regulatory scheme.

CONCLUSION

In order to be in compliance with ACRE, Pennsbury must take the following action:

- 1. Delete Section 163-1503.D.2.a-h, Natural Resource Protection Standards;
- 2. Delete Section 162-2041, Timber Harvesting Operation/Forestry;
- 3. Delete the \$1,000.00 review cost in the Fee Schedule, <u>Resolution</u>, VI., <u>Land Disturbance Permits.</u>

In the alternative, Pennsbury can rescind its timber harvesting ordinances and enact the PSU College of Agricultural Science's *Pennsylvania Model Forestry Regulations* which is attached as "Exhibit B."

I am also including a Penn State publication regarding timber harvesting practices which explains why local regulation of forestry activities should be kept to a minimum, if used at all, because most of the concerns supporting local regulation are already addressed through State law requirements. See PSU College of Agricultural Sciences, Timber Harvesting in Pennsylvania, Information for Citizens and Local Government Officials (Exhibit C).

I would greatly appreciate it if you would respond to this letter within thirty days of receipt. I look forward to your response as to how the Township intends to proceed and I thank you for your consideration of this matter.

Robert A. Willig

Sincerely,

Senior Deputy Attorney General

EXHIBIT A

PSU College of Agricultural Sciences, *Dealing* with Local Timber Harvesting Ordinances

Dealing with Local Timber Harvesting Ordinances

A Guide for the Forestry Community



PENNSTATE





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1. Introduction

Forests are an essential and significant part of Pennsylvania's environment and economy. The Pennsylvania legislature acknowledges that carefully planned and executed timber harvesting is crucial for conserving not only the aesthetic values but also the economic values of Penn's Woods. Timber harvesting is regulated by only a small fraction of Pennsylvania's local governments, but as residential development increases, more municipalities may begin to regulate timber harvesting in order to maintain Pennsylvania's woods for future generations. Local governments, primarily townships, regulate timber harvesting by adopting ordinances that may include provisions requiring harvest plans, permits, and silvicultural regulations.

According to a Penn State survey conducted in 1992, at least 135 townships in Pennsylvania have adopted ordinances. Half of the communities that have an ordinance are rural, making the adoption of ordinances not only a suburban phenomenon.

Many factors go into deciding whether or not an ordinance should be adopted. These include environmental protection, soil erosion and sedimentation pollution controls, aesthetic values, development concerns, logging traffic, and road damage, among others. We discuss many of these factors later in the publication.

Despite the fact that townships adopt ordinances to regulate timber harvesting, there is a lack of forester participation in drafting these ordinances. Of the 68 townships reviewed in the Penn State study, none used a forester as the principal author of their timber harvesting ordinance, nearly three-quarters did not consult a forester while creating the ordinance, and almost one-third did not even know that forestry expertise was available. Owing to the lack of foresters' involvement in creating these ordinances, many contain provisions that negatively affect forestry practices by imposing unnecessary or unreasonable forestry regulations. If provisions like these continue to be applied, then forestry impacts may include lower financial returns to landowners on investments, higher regeneration failure among tree species, decreased timber quality as a result of inferior silvicultural practices, loss of jobs in townships owing to a diminishing interest in logging, and a greater likelihood that the forest base will be converted for other uses.

In this publication we provide information for foresters, land-owners, and loggers on ways to communicate with municipalities that are considering creating timber harvesting ordinances. We discuss the following:

- History of timber harvesting ordinances in Pennsylvania
- Local government structure and its role in the construction and regulation of timber harvesting ordinances
- Zoning ordinances
- Types of land uses that relate to timber harvesting
- Developing a timber harvesting ordinance
- Examples of unreasonably restrictive and reasonable timber harvesting regulations
- The process of obtaining a permit and contesting permit denials and illegal ordinances
- Working with local governments to develop ordinances

Reeder, E. 1992. "Local Timber Harvesting Ordinances in Pennsylvania." Master's thesis, the Pennsylvania State University. 131 pp.



2. The Pennsylvania Municipalities Planning Code and Recent Forestry Amendments

The Pennsylvania Municipalities Planning Code (MPC) authorizes counties, townships, and boroughs to administer local land use planning. The MPC does this by mandating how municipalities may go about establishing planning commissions, preparing and adopting comprehensive plans, and enacting land use regulations such as zoning ordinances. The MPC also authorizes municipalities to protect, preserve, or conserve open land, including forests and woodlands, but states that the protection, preservation, or conservation of such land cannot hinder forestry practices.

The MPC establishes the basic rules that a municipality must follow when enacting, administering, enforcing, and amending a zoning ordinance, and creates the basic purposes of the ordinance. Since 1992, provisions relating specifically to the practice of forestry as a land use have been enacted into the MPC by the legislature. These provisions include the amendments of 1992, 1994, and 2000.

In 1992, the Pennsylvania legislature enacted the first MPC forestry-related provision, referred to as "the right to practice forestry" provision, which prohibits municipalities from unreasonably restricting forestry activities. (Article VI, Section 603(f) states: "Zoning ordinances may not unreasonably restrict forestry activities.")

In 1994, the legislature added a definition of "forestry" to Section 107(a) of the MPC:

The management of forests and timberlands when practiced in accordance with accepted silvicultural principles, through developing, cultivating, harvesting, transporting, and selling trees for commercial purposes, which does not involve any land development.

The last clause in this definition is important because it states that forestry is not land development and, therefore, should not be regulated by the provisions of a municipality's land development and subdivision ordinance. In Section 107 of the MPC, the definition of "land development" includes the improvement of land involving two or more buildings or a single nonresidential building. Clearly, the management of forest and timberlands as illustrated by the added definition of forestry, does not include improving the land for buildings, unless the tree harvesting is being done as part of an overall land development or subdivision plan. The definition of forestry was added to encourage municipalities to view the practice of forestry as a sound and economically viable form of land use, in contrast to converting forests for development.

Through Acts 67 and 68 of 2000, the legislature expanded "the right to practice forestry" provision of Section 603(f) of the MPC. Section 603(f) was further amended:

To encourage maintenance and management of forested or wooded open space and promote the conduct of forestry as a sound and economically viable use of forested land throughout this Commonwealth, forestry activities, including, but not limited to timber harvesting, shall be a permitted use by right in all zoning districts in every municipality.

This addition recognized forestry, including timber harvesting, as a permitted use by right in all zoning districts of every municipality. Through Act 68, the legislature unilaterally determined how forestry as a land use will be treated in a zoning ordinance.

The Act 68 amendments also changed the MPC's purpose clause, Section 105, which outlines the legislature's statement of purpose for planning in the Commonwealth. The recent amendment to this section incorporates modifications related to forestry and shows the legislature's interest in the use of forest resources. The addition to Section 105 forbids a municipality from taking actions that preclude access to the land for forestry purposes:

[A]nd wherever the provisions of this Act promote, encourage, require, or authorize governing bodies to protect, preserve, or conserve open land, consisting of natural resources, forests, and woodlands, any actions taken to protect, preserve, or conserve such land shall not be for the purposes of precluding access for forestry.

The amendment puts emphasis on forestry as a valuable, important economic resource. In other words, natural resource preservation activities must not take away from landowners the availability of access to forest lands and the opportunity to use these forest lands for economic gain.

With the latest amendments effective February 21, 2001, the current status of the MPC as it relates to forestry reads as follows:

- Municipal ordinances must allow forestry activities as a use by right in all zoning districts.
- Restrictions on forestry activities are reasonable if contained in the ordinance, but no additional requirements may be added at the time of issuance of a permit.
- Ordinances that prohibit forestry activities in any zoning district or permit forestry activities only by special exception, conditional use, or variance are not valid. Such ordinances are effectively repealed by the provisions of Act 68.
- Restrictions on forestry activities that appear only in special exception or conditional use provisions of an ordinance may not be enforced.

- Many municipal ordinances require the issuance of a zoning permit to proceed with forestry-related activities. If a permit application is denied, an appeal may be filed with the zoning hearing board in accordance with the provisions of the MPC.
- Timber harvesting activities that are part of a land development and subdivision plan do not fall within the definition of forestry activities and are not a permitted use by right under the MPC.
- Municipalities are not authorized to assume whether an applicant intends to develop the land subsequently.

In summary, the latest amendments added to the MPC since 1992 directly affect forestry practices and have great importance in determining the future of forestry practices. The amendments illustrate that forestry is a preferred land use. The legislature encourages forestry to be practiced in all forested areas of every municipality, and regulatory standards for forest management operations that are created by a municipality are not to be unreasonably restrictive. With the amendments, municipalities may no longer "zone out" forestry from any zoning district. The issue at hand, however, is whether and what types of "reasonable restrictions" municipalities may impose on forestry activities. There isn't a legal definition of "unreasonably restrictive," so the way that forestry provisions of the MPC are applied will have to be interpreted and developed by the courts, which will have to decide whether the provisions of an ordinance reasonably or unreasonably restrict forestry activities on a case-by-case basis. The case law that may occur now is crucial for the timber harvesting industry because some municipalities can be expected to aggressively regulate forestry.



3. Local Government Structure

Pennsylvania consists of 67 counties, 56 cities, 962 boroughs, and 1,548 townships. These four general types of local municipalities in Pennsylvania each operate under their own code of laws. An important general law that affects local government, the MPC allows municipalities to undertake comprehensive planning and to adopt zoning, subdivision, and land development ordinances.

In addition to residing in one of the 67 counties, each Pennsylvanian also lives in a municipality. Municipal governing bodies, consisting of locally elected officials, make all policy decisions and appoint staff to administer their governments. Municipal activities that may affect forestry include local planning, zoning, and code enforcement.

In Pennsylvania local government there are two types of officers; an elected representative and professional administrative staff. Elected representatives are the policy makers and come from all walks of life: merchant, farmer, doctor, or any community member. The professional staff, on the other hand, make local government administration their life work. Professionals serving in county and municipal governments follow careers in fields such as city and regional planning, community development and housing, and parks and recreation. The elected representatives and the professional staff

both must have a long-range view for community life in areas that are rapidly changing. They must both be competent in policy making and administration, and be dedicated to their jobs and their community. In this publication we focus on townships and township officials, both of whom have the most importance in dealings with zoning ordinances and appeals.

Townships

First and Second Class Townships governed by elected officials are the most common form of municipal government in Pennsylvania. First Class Townships are those with population densities of 300 people per square mile. These townships are governed by a group of five elected commissioners, or if the township is divided into wards, one commissioner per ward. The commissioners are elected for four-year terms. Second Class Townships are more numerous than First Class Townships. Townships operating under the Second Class Township Code are composed of three supervisors elected at large. Two additional supervisors may be elected if the additional seats are approved by voters in a referendum. All have six-year terms,

The Second Class Township Code also authorizes the board of supervisors to make and adopt all ordinances, bylaws, rules, and regulations considered necessary for the proper management and control of the township. The board of supervisors plays the central role in township government and serves as the legislative body of the township by setting policy, adopting comprehensive plans for land use, and enacting ordinances and resolutions. Since there is no separately elected executive, the board may also perform executive functions, such as enforcing ordinances, or may employ staff to administer these functions.

Planning Commissions

Planning for future development and redevelopment of communities is an important function of townships. A township's board of supervisors may create a planning commission as an advisory body in the preparation of a comprehensive plan for the township, preparing and making recommendations on an official map and suggesting land use requirements including zoning, subdivision, and land development ordinances. Planning commissions may propose adoption of a comprehensive master plan for the community to provide an official arrangement of land uses. Planning commissions also review and advise governing bodies on proposed land use ordinances and regulations governing development of land.

Planning commissions are an important tool by which citizens and landowners can make their views known on growth, planning, and the direction that the township is taking or is planning to take. Since planning commissions receive and interpret input on these issues, citizens can have more discussion time regarding the issues that concern them. The planning commission can then help advise on decisions based on citizen input during meetings of the board of supervisors.

Zoning Hearing Boards

If a municipality enacts a zoning ordinance, it must also create a zoning hearing board. The board's main purpose is to help ensure that the zoning ordinance is applied and administered fairly and equitably. This is accomplished by hearing appeals on the validity of the zoning ordinance, the map, or the decision made by the zoning officer. Also, the board can grant variances and special exceptions to the ordinance in certain hardship situations. Although the board is not responsible for the contents of the zoning ordinance, it nevertheless plays a vital role in the ordinance's overall effectiveness. The board also may detect weaknesses in the zoning ordinance, perhaps as a result of frequent and similar variance requests. In this case, the board may recommend that the governing body consider a zoning amendment to correct an ordinance flaw.

A zoning hearing board is made up of either three or five appointed members, all of whom must be residents of the municipality and must hold no other elected or appointed position. Since the board has no legislative power, it cannot make, modify, or enforce zoning policy. The board schedules hearings on applications and appeals that come before it, takes evidence, and issues written decisions with findings of fact and conclusions of law. The zoning hearing board must always limit its scope of activities to those permitted by the MPC and by the local zoning ordinance.

The zoning hearing board of a municipality has exclusive jurisdiction in hearing and deciding (1) substantive challenges to the validity of any land use ordinance, except curative amendments, (2) procedural challenges to a land use ordinance, (3) appeals from the determination of the zoning officer, (4) appeals from a determination by the municipal engineer or zoning officer with respect to the administration of any flood plain or flood hazard ordinance or such provisions within a land use ordinance, (5) applications for variances, (6) applications for special exceptions, and (7) appeals from the determination of the zoning officer or municipal engineer in the administration of any land use ordinance or provision with reference to sedimentation and erosion control or storm water management.



4. Zoning Ordinances

The actions of a governing body regarding forestry and timber harvesting practices directly affect a municipality; therefore, official actions are specified in township codes and other state laws. The MPC mandates that all permanent legislative acts must be made by ordinance, and in certain cases, the MPC specifies when the ordinance must be used. An ordinance is defined as a municipal law that has a general or permanent nature.

Zoning divides the community into districts according to present and future land use. Areas can be zoned to create residential, commercial, and industrial districts. A zoning ordinance typically follows the development of a comprehensive plan, and the board may enact, amend, and repeal zoning ordinances to update the comprehensive plan.

Three fundamental rules govern the preparation of a zoning ordinance. First, the ordinance is usually created by the planning commission and is based on the municipality's comprehensive plan. Second, a comprehensive plan and a zoning ordinance should be developed under the guidance of a trained planner. This person would ensure that proper professional planning techniques are used when the ordinance is written and the maps prepared; a trained planner would also make certain that the zone classifications conform to

the comprehensive plan. Finally, the zoning ordinance must be consistent with the provisions of the MPC. In the next section we discuss different types of land uses that may be included or excluded from a particular zone by virtue of a municipality's zoning ordinance.

5. Types of Land Uses

Although court decisions generally have discouraged exclusions of any legitimate land uses, certain land uses may be excluded from a specific zone or may inadvertently be omitted from the municipality entirely. If a use is excluded, the burden of proof shifts to the municipality. However, the zoning ordinance contains provisions to help with these situations.

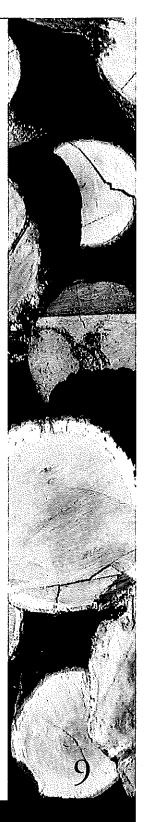
Each zoning district provides for permitted uses by right, by special exception, or by conditional use, which are all unique with respect to their effects and administration. The MPC mandates that forestry activities, including timber harvesting, are a permitted use by right in all zoning districts of a municipality. In zoning terminology, the term "permitted use" generally refers to those uses that are allowed absolutely and unconditionally and that may not be burdened by conditions not found within the ordinance of the zoning district. No hearings or reviews by the planning commission are required in permitted use cases. Approval of the permit application rests solely with the zoning officer.

Uses permitted by special exception or conditionally are usually reserved for land uses that would have a significant impact on the district or the whole community, or uses that would necessitate more control or additional safeguards, Such uses require a

closer examination by the body granting their approval. The major difference between a special exception and a conditional use is the entity making the decision. Special exceptions are granted by the zoning hearing board, whereas conditional uses are granted by the governing body of a municipality. Although there is no rule saying which of these two types of land uses a community should choose and for what specific uses each is warranted, many communities reserve conditional uses for those that will have a significant impact on the entire municipality. Uses that have a lesser impact on the entire community but still require a closer examination are often reviewed via special exception procedures. Hearings that are required for both special exceptions and conditional uses are no longer applicable to forestry because it is now a permitted use by right.

The MPC states in Act 68 that forestry-related activities may be subject only to those standards set forth for permitted uses in the zoning district where the activity will occur, or those standards in the ordinance that are generally applicable to permitted uses. An applicant can't be denied by the zoning officer if all the standards and criteria included in an ordinance are met, and if there is a denial, the applicant can appeal to the zoning hearing board.

This is why it is important for a municipality to include enough detail in an ordinance to provide both permit applicants and zoning officers with clear guidance on what must be met when applying for a particular land use, such as timber harvesting.





6. Applying for a Permit

To obtain a permit for forestry activities, including timber harvesting, landowners or their representatives must complete several steps:

- Inquire whether a zoning ordinance exists in the township where the activities will be conducted. If not, the forestry activities may not need a municipal permit.
- If a zoning ordinance is present in the township, determine if forestry requirements are included in it. If so, request a copy of the zoning ordinance sections that pertain to forestry operations in order to be able to address them when applying for a permit.
- If the zoning ordinance contains forestry provisions, request an application for a zoning permit.
- Finally, fill out and submit the permit application to the zoning officer along with the permit application fee and whatever the zoning ordinance requires, such as a logging plan or a copy of an erosion and sedimentation plan.

Once this standardized process has been completed, the zoning officer must then either approve or disapprove the application based on the regulatory standards affecting forestry in the ordinance. A zoning officer is authorized to approve or deny the "right" to use the land for forestry, which is created by the district's zoning ordinance. However, the MPC restricts the zoning officer from having any discretionary power to waive or tighten any requirements of the ordinance. If the application is approved, a permit is issued and forestry activities, including timber harvesting, may take place. If the application is denied, the applicant may reapply, or file an appeal with the township's zoning hearing board. The following section deals with how a landowner can appeal the determination made by the zoning officer in a particular area.

7. Appealing the Denial of a Permit

Since forestry is a "permitted use," the granting or denial of a permit by the zoning officer may be appealed to the zoning hearing board of a local government, which hears appeals from determinations made by the zoning officer.

A zoning ordinance's validity can be challenged based on the failure of the ordinance to provide for forestry activities as a permitted use in every zoning district. Also, if an applicant believes that a zoning officer has imposed standards or conditions not found in the specific zoning district regarding permitted uses by right or thinks that the standards are "unreasonable" as related to acceptable silvicultural and forestry practices, the applicant can enter an appeal. In all cases, the amendments to the MPC regarding forestry activities take priority over all local enactments.

Traditionally, there have been two ways that an individual can appeal or challenge a zoning ordinance as it applies to his or her property. The first way is through a zoning amendment, which requires a change of classification. The second way is a variance, which, although seldom applicable to the timber industry and timber harvesting operations, requests relief from the literal enforcement of the zoning ordinance in hardship situations. In 1972, an amendment to the MPC added a third method, the curative amendment, which is a

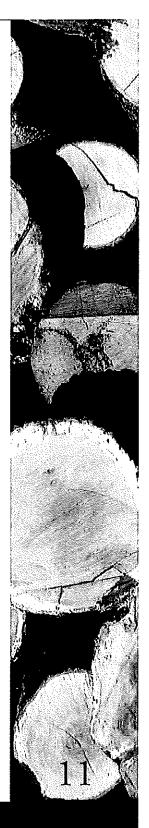
hybrid form of challenge to the zoning ordinance. The curative amendment allows the landowner to challenge a municipality's ordinance on the basis that it does not provide for all uses or for a reasonable share of uses, and to suggest a "cure" as an amendment to the zoning ordinance. The curative amendment is both an appeal from, and if granted, an amendment to, the zoning ordinance.

If a property owner feels that a provision of the ordinance, for example, a map, prohibits or restricts the use of land in which he or she has an interest, a curative amendment may be submitted to the governing body. It is a substantive challenge to the validity of the ordinance, in this case a map, and the applicant is asking the governing body to hear the challenge and to decide upon the matter. If a timber harvesting ordinance is properly developed with enough detail, the need for challenge will be minimized because both the landowner and zoning officer are able to follow the specific criteria presented in the ordinance.

The appeals process is available to anyone who has been denied a permit. However, before an applicant takes a permit request to this level, he or she may make changes to the application and try to reapply for the permit. The appeals process begins with the zoning hearing board. The zoning hearing board must appoint

its own solicitor to assist in its deliberations, written decisions, and appeals. The municipal solicitor may not be the zoning hearing board solicitor since the board members' opinions and decisions may differ from the views of the supervisors. The supervisors may, just as any affected citizen, appeal a decision of the zoning hearing board to the courts. A legal challenge on procedural grounds or alleged defects in the process of enactment goes to the zoning hearing board, but if the appeal is from the enactment of an initial zoning ordinance and no zoning hearing board has yet been established, then the appeal goes directly to court.

There is a 30-day limit for appeals on approved preliminary or final application to the zoning hearing board. If the citizen then wants to appeal the board's ruling, he or she has 30 days from the date that the decision was entered to appeal to the common pleas court.





8. Developing a Timber Harvesting Ordinance

In writing a timber harvesting ordinance, it is important to know how "forestry" is defined in the Municipalities Planning Code (MPC), discussed on page 4. As a result of the many activities encompassed by this definition of "forestry," as well as the range of accepted silvicultural practices, each municipality may have a different approach to writing a timber harvesting ordinance.

Some important questions should be answered before a community decides to write an ordinance to regulate timber harvesting. First, the community should carefully consider its motivation for developing such an ordinance. Is there concern over increasing development of forest lands for other uses, worry about the negative impacts that may result from increasing truck traffic, or concern about erosion, sedimentation, or other sources of environmental degradation? If these are the issues, a timber harvesting ordinance may not necessarily be the best solution. Some of these issues, such as the impact of truck traffic and erosion and sedimentation, are already addressed by state laws and regulations. Therefore, any further local action would simply duplicate the efforts of existing laws. Concern about the impact of development may indicate that tree preservation standards may need to be incorporated into the township's land development and subdivision ordinance. Some of these issues may also be more

effectively and cost efficiently addressed through alternative solutions or through education.

In addition to carefully considering the need for an ordinance, a community should calculate how it would be affected by the development and implementation of a timber harvesting ordinance. For example, how much will the ordinance cost and does the township have the expertise to enforce it? How will it best be administered? Will the ordinance really be able to solve the problem? How will the ordinance affect the economic and operational situation of loggers and landowners? If, after a careful examination of all these factors, a community still decides that a timber harvesting ordinance will best solve the problem, it needs to consider various other factors as it develops the provisions to include.

Many communities use another municipality's ordinance to serve as a model when developing one for themselves. This technique may lead to greater consistency among ordinances throughout the state, but problems arise if the ordinances are not based on good silvicultural practices. Timber Harvesting in Pennsylvania² and the sample ordinance provided in

the Appendix (see page 22) give general guidelines as well as standards that are "reasonable" with respect to forestry in general and timber harvesting specifically.

The ability for a community to develop an ordinance that is "reasonable" is very important. Sometimes a provision that may seem reasonable to the municipality may actually place unreasonable burdens on the forestry community. As a result, a community that adopts a timber-harvesting ordinance containing perceived "unreasonable" provisions may force a reduction in forestry operations in their community or even trigger legal action against the municipality. If a provision is "unreasonable," an individual or company has a right to take the municipality to court, resulting in a very time-consuming, often expensive litigation. In addition, the loss of income for landowners and the loss of the forestry operations for the community can be significant. The forestry operation not only provides jobs and wages but also contributes to the local tax base.

Furthermore, landowners may lose their ability to collect income from timber harvesting. They may instead decide to sell their land to a developer, who will, in turn, develop the forest land into residential or commercial property. The forest base loses as well, no longer being subject to essential forest management activities.

² Catalog No. UH097, available from the Publications Distribution Center, The Pennsylvania State University, 112 Agricultural Administration Building, University Park, PA 16802-2602; phone: 814-865-6713. pubs.cas.psu.edu/

As a result, it is imperative that any municipality considering the development of a timber harvesting ordinance be familiar with, and understand the importance of, making its ordinance "reasonable" to the forestry community. The threshold for what is "reasonable" or "unreasonable," however, is not easy to define and has not yet been addressed by Pennsylvania's legislature or through Pennsylvania case law. A municipality will need to think carefully about how the provisions included in its ordinance may not only affect, but also be interpreted by, the forestry community. Care should be taken to solicit the assistance of forestry experts before any forestry or timber harvesting ordinance is developed. Industry and landowners should also take a proactive role in educating municipal officials on forestry practices.

In the next chapter, we present examples of provisions considered "unreasonable." These are taken from ordinances that have actually been implemented.



9. Unreasonable Timber Harvesting Provisions

The MPC states, "Zoning ordinances may not unreasonably restrict forestry activities." To date, neither the general assembly nor the courts have defined what is "unreasonable." Some ordinances contain requirements that are more restrictive than necessary, affecting the ability of landowners to apply accepted silvicultural principles, as well as manage their forest in an economically viable way. Below we discuss what may be considered "unreasonable" restrictions regarding administrative considerations, which involve plan reviews, permits, fees, ambiguous language, and road use restrictions. Second, we look at "unreasonable" technical considerations, which involve sedimentation and erosion plans, diameter limits, cutting restrictions, artificial regeneration, and buffer strips. Finally, we examine "unreasonable" restrictions regarding bonds, specifically performance and regeneration bonds.

Note: The examples given in this section are taken from actual ordinances. They may or may not be viewed as "unreasonable," and are used here only for discussion purposes.

Administrative Considerations

Permits

A local government may reasonably require that a timber harvesting operation apply for a permit before the start of any operation. In most cases, however, these permits should only serve to verify that state laws are being followed. Comprehensive state regulations already cover many aspects of a timber harvesting operation. As a result, local ordinances containing requirements that are more restrictive than those provisions developed by the state are deemed unreasonable. Here are two examples of requirements that may be considered overly restrictive:

- The logging permit shall be valid for a maximum of 10 acres at any one time. Logging permits shall only be valid for a period of four (4) months.
- A permit shall expire after thirty
 (30) days of the date of issuance.

Plan Review

Review Period

Many local timber harvesting ordinances require a timber harvesting operation to obtain a permit before beginning timber harvesting activities. Part of the requirement for obtaining this permit is submitting a logging plan for review and approval by the municipality. While it may not be unreasonable to require a review and approval process for a logging plan, a costly and lengthy or unspecified review period may be unreasonable. Below are some examples of what may be considered unreasonable with respect to this requirement:

- If the harvesting will take place in an environmentally sensitive area or reserved open space, it requires the completion of a conservation plan.
- The secretary, the township engineer, and the zoning enforcement officer each shall examine said application to determine compliance with all applicable codes and ordinances within forty-five (45) days after filing and all required information has been submitted to either approve or reject said application.

It may not be unreasonable in developing a timber harvesting ordinance to require that a logging plan be reviewed. However, a local government needs to be aware of the impact its requirements may have on a timber harvesting operation. While it is necessary to allow some time for the municipality to conduct a review of the logging plan, that time period must be reasonable. The process for approving a logging plan needs to be reasonable with respect to the time period, the scope of the review, and the costs involved.

Expertise of Reviewers and Plan Writers

Again, while it may be reasonable to require that a logging plan be reviewed and approved prior to the start of any timber harvesting operation, it is important to consider who will complete that review. When the reviewers are selected based on their position in the community, rather than on their forestry training, this requirement can be unreasonable. Here are some examples of unreasonable parts of regarding expertise in reviewing and writing plans:

- Such plan shall be prepared by a registered landscape architect or other qualified person, and shall bear the recommendations of the township engineer and the township planning commission and the approval of the owner of the tract and the board of township supervisors.
- The plan shall be approved by the township codes and zoning administrator and reviewed by the Environmental Advisory Council. The review may include an inspection of the site of the proposed operation.

In writing a timber harvesting ordinance, think about the role of the zoning officer in approving timber harvesting operations in the community. Ordinances should be written plainly, containing objective standards that position the zoning officer to either approve or disapprove the permit application. Similarly, any requirements for a forestry or logging plan should be specifically identified and be sufficiently rudimentary for the zoning officer to be assured that the terms of the ordinance are being satisfied.

Fees

When a local timber harvesting ordinance requires that a permit be obtained or a logging plan be reviewed, it may be reasonable to charge a small fee to cover the associated administrative costs. It is unreasonable, however, for the timber harvesting ordinance either to charge a high fee for these required services or to fail to specify the actual amount of the fee. The fees that are charged need to be reasonable so as not to deter forestry operations because of economic considerations.

- The fee for the processing of a logging plan shall be \$25 for the original plan and \$10 for any amendment thereto. In the event the township enforcement officer determines that the logging plan or amendment necessitates the review of the township engineer, the processing fee shall be increased to include the cost of the township engineer's services.
- The application fee for processing is two hundred (\$200) dollars.
- The applicant shall agree in writing to reimburse the town-

ship for all costs of administration and review of the application by the township engineer or consultant. Funds shall be deposited with the township in an amount as specified by resolution of the Board of Supervisors.

Municipal fees to review a logging plan or to administer a permit should be specified in the ordinance and should be reasonable in relationship to the level of review that ordinance standards require. An ordinance requiring a timber harvesting operation to pay an excessive permit fee may impose an economic hardship on the operation and the landowner and make it economically infeasible for the harvesting operation to take place.

Ambiguous Language

A local timber harvesting ordinance should be written in a straightforward, unambiguous way. A municipality must ensure that the requirements for conducting a timber harvesting operation in its community are written in clear, concise, and specific language and that all important terms are properly stated. It is unreasonable to expect a timber harvester to understand and comply with the requirements of an ordinance written in language that is unclear and ambiguous, as in the following examples:

Township approval may not be unreasonably withheld for any forest management plan which meets all of the above requirements. However, the township may impose such additional requirements as it may reasonably deem to be necessary to ensure compliance with the purposes of

- this ordinance and the provisions of the township zoning ordinance establishing buffer zones and screening requirements.
- No site disturbance work shall begin, or proceed to a subsequent phase, until inspected and approved by the township engineer or consultant who shall then file a report thereon with the township.
- All forestry involving more than five acres in any three-year period shall occur only in compliance with a forest management plan developed by a professional forester, and that is consistent with the timber harvesting guidelines of the Pennsylvania Forestry Association.

The ordinance should be clear and written in plain language to avoid misinterpretations. For example, failure to specify what constitutes "additional requirements," or what the guidelines will be for something to be "inspected and approved," or who can be considered a "professional forester" lends to the ordinance an element of the unknown; it also leaves a timber harvesting operator wondering about hidden review and enforcement requirements and costs. Therefore, it is important to be specific and concise about all provisions and to define clearly all terms incorporated into a timber harvesting ordinance. Failure to do this is unreasonable, and many timber harvesting operators may fear litigation if they do conduct a harvest in a community where an ordinance is not specific about what is expected.

Road Use Restrictions

While it may be true that overweight hauling by timber harvesting operations could cause damage to certain local roadways, the Pennsylvania State Legislature has mandated legal standards and requirements for overweight hauling in title 75PCS, Chapter 49. These regulations authorize local governing bodies to post roads with weight limits, issue permits for use of a posted road by an overweight vehicle, and enter into agreements for posting bonds and requiring excessive maintenance for local roadways. These road posting and bonding regulations must be subject to an ordinance adopted apart from any forestry or timber harvesting ordinance. Some of the provisions included in local timber harvesting ordinances, however, contain "unreasonable" constraints on the use of roadways for timber harvesting purposes.

Prior to hauling on any township road, a bond shall be posted in accordance with Section 5. The township engineer shall prepare a report prior to hauling to establish the road condition. Hauling shall be limited to dry periods to prevent damage to the roadway base. A township representative shall follow the first truck to determine if the roadway is being damaged. The roadway shall be kept clean and free of mud and wood debris. At the point of access, the logger shall construct an aggregate entrance area to support the roadway edge. No parking of logging vehicles or storage of logs shall be permitted in township road rights-of-way. Water from the logging area shall not be discharged onto the township roadway surface.

Timber harvesting operations typically work within the constraints of a small profit margin. Flexibility is important even when conditions may warrant restricting logging operations; for example, in early spring logging trucks may cause excessive road damage.

Technical Considerations

Sedimentation and Erosion Plans

Generally, timber harvesting does not have a major impact on soil and water resources, However, certain activities associated with timber harvesting have the potential to adversely affect soil and water resources. In response to this concern, state regulations (25 Pa. Code, Chapter 102) require that all earth disturbances have a site-specific erosion and sediment control plan. In addition, any operation that disturbs 25 or more acres of land (determined by acreage of logging roads and trails) may apply for and receive an erosion and sedimentation control permit. Because these state regulations are already in existence, it is unreasonable for local ordinances to include additional or more restrictive requirements. Below are some examples of sedimentation and erosion control requirements added by a local timber harvesting ordinance.

- All exposed ground surfaces shall be stabilized or protected with a vegetative cover.
- Grading and earth moving operations shall be avoided during the period November 15 to April 1, when revegetation of exposed ground surfaces is difficult.

Before incorporating into a local timber harvesting ordinance provisions addressing sedimentation and erosion, a municipality should carefully examine the existing state regulations. Any provision that is more restrictive than those contained in the state regulations may be unreasonable.

Diameter Limits

Diameter limit harvesting is a technique in which all trees above a certain specified diameter are removed. This technique, which generally results in taking only the largest, best trees of the most valuable species, can affect the future health and value of the forest stand. Below are some examples of unreasonable requirements, found in ordinances from around the state, concerning diameter limit harvests.

- The only trees that may be cut down in a logging operation must have a minimum diameter of twelve (12) inches diameter at breast height (dbh) and a maximum diameter of thirty-six (36) inches dbh.
- Within the area designated as buffer strip the provision states that "within this area, only trees over fourteen (14) inches dbh may be cut, but no more than one-half the basal area may be removed.
- This provision states that it shall be unlawful for anyone to cut down any tree in any zone which is six (6) inches or more in diameter measured at a point four and one-half (4.5) feet above ground level.

Although diameter limit provisions may have been incorporated into the ordinance for a specific purpose, such as protecting

historic trees, or as a result of concern over the loss of trees generally, mandating a diameter limit on timber harvesting operations can be detrimental. In Pennsylvania forest stands, the smaller trees are typically the same age as the large trees. The difference in size is often the result of a difference in tree species, a genetically inferior tree, or the result of poor location. Diameter limit harvesting will eventually shift the composition of the forest and may even degrade the quality of the forest by promoting inferior trees. This practice may also limit future options for forest management and slow down the stand's ability to recover from disturbance through the elimination of seed trees for the species removed. As a result, requiring this method of harvest is unreasonable.

Cutting Restrictions

A timber harvesting ordinance that specifies a "proper" cutting method or that imposes restrictions on the harvesting methods allowed, without a consideration of the actual conditions at the site, is unreasonable. Instead, the methods selected for a harvesting operation should be based on the types of trees being harvested, the landowner's desired outcome, and existing ecological requirements at the site to ensure proper regeneration.

- A minimum of ten (10) square feet of basal area per acre of desirable commercial species shall be retained in situ on the harvest site. (In situ means in its natural position or place.)
- Intermediate thinnings shall retain at least sixty (60) square feet of basal area per acre.

- On slopes of 10–25 percent logging will involve less than one-third of even-aged and noncontiguous trees.
- All trees shall be removed in sections not to exceed twenty-four (24) feet in length.
- (1) No more than 20 percent of the woodlands in environmentally sensitive areas shall be altered, removed, cleared, or built upon. Environmentally sensitive areas shall include flood plains, flood plain soils, step slopes, wetlands, wetland margins, and lake or pond shorelines. (2) No more than 50 percent of woodlands which are not located in environmentally sensitive areas (as defined in [1] above) shall be altered, removed, cleared, or built upon.

Certain sites require specific silvicultural methods to ensure proper regeneration of species and forest stands. Timber harvesting operations should be given flexibility to determine what method or methods will best allow for future stands. Additionally, landowners have a right to manage their woodlot for future outcomes. It is unreasonable for a municipality to restrict these landowner rights.

Clear-Cutting Restrictions

Clear-cutting refers to the forestry practice whereby all trees are removed with the purpose of reestablishing an even-aged stand. Restricting clear-cutting in forests is an unreasonable ordinance provision. Often, these provisions are developed and included based on a common misperception that this type of harvesting is ugly and detrimental to forest ecosystems. This is not true, and in fact, shade-intolerant forest stands require clear-cutting to ensure proper regeneration. Additionally, this type of management practice is often beneficial with respect to the landowner's opportunities for the stand's future management. Below are some unreasonable provisions found in timber harvesting ordinances pertaining to clear-cutting:

- No clear-cutting of a forest or secondary forest shall be permitted.
- No more than fifty (50) contiguous acres may be clear-cut in any ten (10)-year period. Adjacent timber stands may subsequently be clear-cut if satisfactory regeneration has been established on the previously clear-cut area.
- There shall be no clear-cutting within twenty-five (25) feet of the adjoining property line without the express written permission of the adjoining land owner(s).
- Clear-cut harvesting is permitted provided that advance regeneration is present and no more than twenty-five (25) acres or twenty-five (25) percent of a tract of real estate, whichever is less, may be clear-cut during a ten (10)-year period.

There are a variety of acceptable silvicultural methods, and clear-cutting is one of them. It can be used to meet goals at a timber harvesting site and to ensure the proper regeneration. To restrict its use is unreasonable.

Artificial Regeneration

Artificial regeneration, reseeding or replanting an area where a forestry operation took place, is often written into timber ordinances as a requirement. An ordinance requiring artificial regeneration, however, is typically not necessary in Pennsylvania. When acceptable silvicultural practices are used, most of Pennsylvania's forests will regenerate naturally from seeds already in the soil or sprouts from the stumps. These naturally regenerated trees have been shown to grow faster and survive better than the planted trees. Therefore, it is unreasonable, both economically and ecologically, to require a forestry operation to artificially regenerate the site. Species requirements also prevent landowners from improving the overall quality of their woodlot. Here are some examples of such species requirements:

- When a logging operation has been completed, the property shall be replanted with trees of a similar nature measuring a minimum of two (2) feet in height to replace the trees which were cut down.
- A reforestation program shall be submitted which shall show a program for reestablishment of the forest on a sustained yield basis, except where clearing is for agricultural use.
- The permit application shall indicate what restoration, regrading, and reseeding will be performed upon completion of the activity, and the issuance of the permit shall be conditioned upon the same taking place; the zoning officer shall be assured that such regrading, reseeding, and restoration of the land shall be in accordance with the

requirements of any other governing authority and otherwise shall be such as shall restore the lands involved to a reasonable condition and productive use in the future, without detriment to adjoining tracts of lands or roads.

Requiring artificial regeneration can create an unnecessary economic obstacle for a timber harvesting operation. As a result, this requirement is unreasonable and should not be included under local timber harvesting ordinances.

Buffer Strips

Buffer strips along streambanks are required by Commonwealth sedimentation and erosion plans. Local timber harvesting ordinances often will include similar requirements as well as requirements for leaving buffer strips along property lines. The purpose of buffer strips along streambanks is to reduce the amount of sediment and nutrients that get into a stream and, therefore, to protect water quality. Buffer strips along property boundaries are intended to reduce the aesthetic impacts of a timber harvesting operation. These requirements, however, are not always necessary, and it may be unreasonable to include them. Below are some provisions found in some timber harvesting ordinances pertaining to buffer strips:

- A buffer strip of at least one hundred (100) feet from the centerline of the stream shall be maintained on both sides of the stream.
- A ten (10)-foot buffer zone of untouched timber shall be maintained between the cutting site and adjacent property boundar-

ies unless specifically waived in writing by the adjacent property owner.

While leaving a buffer zone along a water course is an important forestry practice, best management practices for timber harvesting activities indicate that minimum buffer strip widths can be as little as 25 feet, depending on the slope of the land and the location of the harvest with respect to the stream. While buffer strip widths of less than 50 feet may require a permit or written waiver, a provision in an ordinance that limits the potential for this size of buffer strip outright, without allowing for consideration of these other factors, is unreasonable. A buffer strip is intended to reduce the impact of sedimentation and crosion on a water body. Buffer strips are already addressed by state regulations and guidelines and are a part of the guidelines for developing an appropriate erosion and sedimentation plan. Further requirements as part of a timber harvesting ordinance may present an unnecessary and redundant level of regulation.

A buffer strip requirement along property boundaries is also unreasonable. For a small-scale cut, a requirement of buffer strips along property lines may be such that the buffer strip area reduces the amount of timber that can be harvested, and the harvesting operation may no longer be economically viable.

Bonds

Two different types of bonds are often associated with timber harvesting operations: regeneration and performance. Bonds are one way to ensure that any damage caused as a result of the operation will be repaired.

Performance and regeneration bonds may include any action to ensure that the timber harvesting operation fulfills the requirements for the postharvest site as determined by the ordinance. They may include actions such as revegetating, reseeding, or regrading the site. An examination of provisions relating to performance bonds in timber harvesting ordinances from around Pennsylvania has turned up the following unreasonable provisions:

- Those who secure a logging permit shall post a bond written by a surety company authorized to do business in the Commonwealth of Pennsylvania with the township as obligee in the amount of \$500 per acre to guarantee restoration of the property.
- Prior to the commencement of a logging operation, the applicant shall post a bond, written by a surety company authorized to do business in the Commonwealth of Pennsylvania with the township identified as the obligee in an amount to be determined by township to a maximum amount of \$5,000, which bond shall guarantee restoration of the property damaged by the logging operation.

 One ordinance may require a bond or other security to ensure that any damage to the stream will be repaired.

These provisions can be considered unreasonable for a number of reasons. First, bonds are expensive; they can be difficult to obtain since they are not often readily available from bond underwriters, and the time period of the bond may be held open indefinitely. In addition, the stipulations that a township might include in a bond often duplicate the provisions of the Commonwealth's erosion and sedimentation requirements. They therefore present another level of unnecessary protection for local government that is not often determined by individuals trained in forestry. These bonds may also require the artificial regeneration of a site that may be able to regenerate naturally in a more cost-effective and ecologically efficient manner.

Furthermore, regeneration bonds have been found not to have time periods for compliance. The end result is that these bonds are unnecessary and unreasonable burdens for a timber harvesting operation. Finally, a recent Pennsylvania Supreme Court case (Hydropress Environmental Services v. Township of Upper Mount Bethel, 112 MPA 2202) decided that the township in question did not have the legal authority to impose road improvements that led to a waste disposal site or impose financial security requirements for each disposal site.



10. How to Work with Local Governments

In order to work with local governments, foresters and loggers should promote the creation of a local timber harvesting ordinance committee, promote the evaluation of both regulatory and nonregulatory alternatives, and help to craft the selected alternative. Foresters need to become involved with local timber harvesting issues in a community. Early and ongoing involvement is encouraged.

By developing a timber harvesting ordinance committee, decisions about whether and how to regulate timber harvesting can be made with the input of the public after carefully considering the issues from all viewpoints involved. A committee can aid local government to ensure that full public involvement and in-depth study occurs before the drafting of an ordinance. In some cases, these tasks can be assigned to local environmental advisory committees. If these committees don't exist in an area, however, then an ad hoc committee consisting of landowners, residents of forest areas, loggers, sawmillers, environmentalists, foresters, and other professional resource managers can be created. This type of committee should meet the following objectives:

- Define the problem
- Determine its significance
- Identify and compare alternative solutions

- Consider additional enforcement costs
- Identify and evaluate consequences of alternatives
- Evaluate proposed forest practices
- Ensure that any road posting and bonding requirements are consistent with Pennsylvania Department of Transportation regulations
- Consider the economic impacts of the proposed regulation on forest landowners and loggers
- Make recommendations for action to the municipality's governing body

It is important to remember to provide plenty of opportunities for effective public involvement. One way to do this is to hold public meetings.

Foresters should promote the evaluation of both regulatory and nonregulatory alternatives. State regulations already address forestry concerns, so local regulations are not always the best way to deal with them. Education is also a solution to forestry concerns, and foresters are encouraged to participate in statewide efforts such as the Forest Stewardship Program and the Tree Farm Program. Participation in programs such as these may improve the quality of management on local forest lands.

Foresters and loggers should be actively involved in the details of whatever solution is ultimately decided upon. A workable program needs to be developed; to do this one must review all drafts carefully and suggest specific alternative language where necessary.

If you become aware of a local government that is considering or may be considering a forestry-related ordinance, please contact Penn State Forestry Extension at 7 Ferguson Building, University Park, PA 16802, telephone: 814-863-0401, fax: 814-865-6275. Extension can act as a clearing-house and provide the following services:

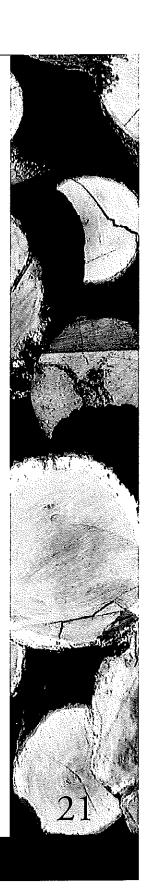
- Identify local governments that are considering timber harvesting regulations
- Update the forestry community on local regulatory developments
- Distribute information such as background material and sample ordinance provisions
- Maintain a database on existing timber harvesting ordinances
- Arrange for professional foresters to become involved in local regulatory deliberations
- Coordinate training for the forestry community on this topic

11. Summary

This publication is designed to provide landowners, foresters, and loggers with the knowledge they need to work with local governments on timber harvesting issues. It also suggests tools and guidelines for building fair, effective timber harvesting ordinances.

Foresters in communities where timber harvesting occurs need to become involved before an ordinance has been drafted or enacted in a municipality. In addition, foresters and other members of the forestry community, such as landowners, loggers, and wood products companies, need to become involved in forestry issues by contacting local governments and county planning commissions and by visiting conservation districts.

When a local government considers adopting a timber harvesting ordinance, foresters can use this publication to offer sound advice on how to serve the needs of the community in the most cost-effective way without placing unnecessary or unreasonable restrictions on the practice of forestry. After reading this publication, foresters should be able to understand and explain the problems that can be caused by poorly crafted or inappropriate ordinance provisions. Also, foresters should now be aware of topics commonly covered by timber harvesting ordinances and related to ordinance provisions.





Appendix: A Model Timber Harvesting Ordinance

A community has thought carefully about the pros and cons of developing and implementing a timber harvesting ordinance and has decided that such an ordinance will serve to meet its overall goals. The model ordinance text, developed by Penn State Cooperative Extension and endorsed by the Pennsylvania State Association of Township Supervisors, can serve as a guideline for crafting a timber harvesting ordinance. The model has been developed with the intention of being fair to all stakeholders affected by a timber harvesting operation, from the local citizens to the forest landowners and the forestry industry. This balanced approach leads to an ordinance whose standards are considered to be "reasonable."

In this model ordinance, eight sections deal with topics appearing most frequently in existing ordinances, each followed by a discussion of that section's function and purpose. The sections include: (1) policy; purpose, (2) scope; applicability, (3) definitions, (4) notification; preparation of a logging plan, (5) contents of the logging plan, (6) forest practices, (7) responsibility for road maintenance and repair; road bonding, and (8) enforcement. The italized sections are suggested content for an ordinance.

Section 1. Policy; Purpose

Many ordinances begin with a statement of general policy, purpose, or intent. the function of this purpose statement is to provide guidance to the community and to help applicants, zoning officials, and the court interpret the intent of the ordinance and properly apply it. Overall, the purpose of a timber harvesting ordinance is not to prevent logging, but to encourage sustainable timber harvesting. The purpose statement should recognize the importance of forests to the community, and should explain how the ordinance can help to sustain existing forests and the long-term benefits that derive from them.

In order to conserve forested open space and the environmental and economic benefits they provide, it is the policy of the township (borough) _____to encourage the owners of forest land to continue to use their land for forestry purposes, including the long-term production of timber, recreation, wildlife, and amenity values. The timber harvesting regulations contained in sections 1 through 8 are intended to further this policy by (1) promoting good forest stewardship; (2) protecting the rights of adjoining property owners; (3) minimizing the potential for adverse environmental

impacts; and (4) avoiding unreasonable and unnecessary restrictions on the right to practice forestry.

Section 2. Scope; Applicability

The scope of the ordinance defines what is covered by the provisions included within it. In this example, scope will apply only to those operations in which the value of timber products removed exceeds \$1,000. This is an important distinction, and as this statement makes clear, the ordinance does not exist to regulate small-scale tree removal for personal purposes, but instead it focuses on commercial timber harvesting operations.

To encourage maintenance and management of forested or wooded open space and promote the conduct of forestry as a sound and economically viable use of forested land throughout the township (borough), forestry activities, including timber harvesting, shall be a permitted use by right in all zoning districts. Sections 1 through 8 apply to all timber harvesting within the township (borough) where the value of the trees, logs, or other timber products removed exceeds \$1,000. These provisions do not apply to the cutting of trees for the personal use of the landowner or for pre-commercial timber stand improvement.

Section 3. Definitions

Discussion of Definitions

Most ordinances contain definitions of terms that are technical in nature, or are commonly used words that have a special meaning within the ordinance. These definitions help communities and citizens correctly interpret and apply the ordinance. The definition of terms can affect how the ordinance is understood and applied to accomplish its goal. Only those terms that require definition need to be included in this section. Once the decision is made regarding which terms to include, these terms need to be unambiguously and accurately defined. This model lists some of the more commonly used terms and definitions in forestry-related ordinances.

As used in Sections 1 through 8, the following terms shall have the meanings given them in this section.

- a. "Felling" means the act of cutting a standing tree so that it falls to the ground.
- b. "Forestry" means the management of forests and timberlands when practiced in accordance with accepted silvicultural principles, through developing, cultivating, harvesting, transporting and selling trees for commercial purposes, which does not involve any land development.3
- c. "Landing" means a place where logs, pulpwood, or firewood are assembled for transportation to processing facilities.

- d. "Litter" means discarded items not naturally occurring on the site such as tires, oil cans, equipment parts, and other rubbish.
- e. "Lop" means to cut tops and slash into smaller pieces to allow the material to settle close to the ground.
- f. "Operator" means an individual, partnership, company, firm, association, or corporation engaged in timber harvesting, including the agents, subcontractors, and employees thereof.
- g. "Landowner" means an individual, partnership, company, firm, association, or corporation that is in actual control of forest land, whether such control is based on legal or equitable title, or on any other interest entitling the holder to sell or otherwise dispose of any or all of the timber on such land in any manner, and any agents thereof acting on their behalf, such as forestry consultants, who set up and administer timber harvesting.
- h. "Pre-commercial timber stand improvement" means a forest practice, such as thinning or pruning, which results in better growth, structure, species composition, or health for the residual stand but which does not yield a net income to the landowner, usually because any trees cut are of poor quality, too small or otherwise of limited marketability or value.
- i. "Skidding" means dragging trees on the ground from the stump to the landing by any means.

- j. "Slash" means woody debris left in the woods after logging, including logs, chunks, bark, branches, uprooted stumps, and broken or uprooted trees or shrubs.
- k. "Stand" means any area of forest vegetation whose site conditions, past history, and current species composition are sufficiently uniform to be managed as a unit.
- "Stream" means any natural or artificial channel of conveyance for surface water with an annual or intermittent flow within a defined bed and banks.
- m. "Timber harvesting," "tree harvesting," or "logging" means that part of forestry involving cutting down trees and removing logs from the forest for the primary purpose of sale or commercial processing into wood products.
- n. "Top" means the upper portion of a felled tree that is unmerchantable because of small size, taper, or defect.
- o. "Wetland" means areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances, do support a prevalence of vegetation typically adapted for life in saturated soil conditions including swamps, marshes, bogs, and similar area.

³ Only forests and timberlands subject to residential or commercial development shall be regulated under the township's (borough) land development and subdivision ordinance.

Section 4. Notification; Preparation of a Logging Plan

The notification requirement asks that a landowner who intends to harvest timber notify the municipality of this intention. As a part of this requirement, the landowner is responsible for preparing a logging plan that will address the compliance of the harvest with existing regulations. Although notification and plan preparation must take place, the ordinance does not require that the landowner submit the logging plan for review and approval, or that the landowner apply for a permit to conduct the operation. Submitting a plan for review, or obtaining a permit can be a time-consuming process that increases the cost of an operation. Additionally, in most cases, such requirements are unnecessary. Instead, the notification and plan requirements allow the local government to inspect the site and be assured that there is a plan to govern the conduct of the harvesting project.

The length of time for the notification period has been left in the text of the model ordinance. It is up to the community to determine a fair and adequate period for notification prior to, and at the end of, any harvesting activity. Despite this latitude, it is important that the notification period selected by the community be reasonable. Because timber harvesting operations rely on the weather, timber markets, and other operational constraints, it is recommended that this period be kept as short as possible, preferably no more than five days.

The language of the model ordinance also gives the community the ability to select the size of harvest, based on acreage, that will require a notification of commencement or completion to be submitted to the local authority. Every community has different concerns and goals, and therefore it is the community's responsibility to identify an acreage requirement for notification that is fair and reasonable. For example, although a five-acre timber harvest may be of concern to a suburban community, this size of harvest may not be of concern to a rural community. The potential impacts of a timber harvest, in some cases, may be so small that government intervention is not justified. While each community is different, in general, a reasonable threshold is between five and ten acres.

The notification and logging plan requirements are the responsibility of both the landowners and the harvest operator. The ordinance refers to the two parties as "jointly and severally liable" for complying with all the requirements of the timber harvesting ordinance, including developing an appropriate logging plan and sending out the correct notification within the proper time frame. To be "joint and severally liable" means that either party may therefore be subject to enforcement actions indicated in the ordinance if all the requirements are not sufficiently met. This means that before any action, each party should make sure the operation is in compliance. Failure to do so could result in stop work orders and fines for both parties.

The notification and logging plan requirements illustrate the dual responsibility of the community and timber harvesting operation. The community developing and implementing the ordinance needs to set reasonable requirements for notification time frames and logging plans. Landowners and timber harvest operators are responsible for complying with regulations to ensure that an operation generates no negative impact on the community. By taking these responsibilities seriously, timber harvests can be a beneficial and important part of a community.

- a. Notification of commencement or completion. For all timber harvesting operations that are expected to exceed ____ acres, the landowner shall notify the township (borough) enforcement officer at least_ business days before the operation commences and within business days before the operation is complete. No timber harvesting shall occur until the notice has been provided. Notification shall be in writing and shall specify the land on which harvesting will occur, the expected size of the harvest area, and, as applicable, the anticipated starting or completion date of the operation.
- b. Logging plan. Every landowner on whose land timber
 harvesting is to occur shall
 prepare a written logging
 plan in the form specified by
 this ordinance. No timber
 harvesting shall occur until
 the plan has been prepared.
 The provisions of the plan
 shall be followed throughout
 the operation. The plan shall

- be available at the harvest site at all times during the operation and shall be provided to the township (borough) enforcement officer upon request.
- c. Responsibility for compliance. The landowner and the operator shall be jointly and severally responsible for complying with the terms of the logging plan.

Section 5. Contents of the Logging Plan

A logging plan must be developed for any timber harvesting operation. This plan must be consistent with accepted silvicultural principles and must also comply with all existing state regulations. In general, the requirement to map out the operation in relation to natural features within the harvest boundaries in order to develop a logging plan ensures that a timber harvesting operation will think about the impact it may have on the environment and the community. Additionally, the state mandated erosion and sedimentation plan, required by Chapter 102, as well as any other state requirements, are typically incorporated and are satisfied into the logging plan.

The logging plan also lists specific, enforceable measures that the operation will take to ensure the timber harvest meets all local and state regulations. Since the logging plan must be made available at any timber harvest site, it can be a good way to ensure that measures are taken to protect community resources without requiring a lengthy, costly review and approval process.

- a. Minimum requirements. As a minimum, the logging plan shall include the following:
 - (1) Design, construction, maintenance, and retirement of the access system, including haul roads, skid roads, skid trails, and landings;
 - (2) Design, construction, and maintenance of water control measures and structures such as culverts, broad-based dips, filter strips, and water bars;
 - (3) Design, construction, and maintenance of stream and wetland crossings; and
 - (4) The general location of the proposed operation in relation to municipal and state highways, including any accesses to those highways.
- b. Map. Each logging plan shall include a sketch map or drawing containing the following information:
 - (1) Site location and boundaries, including both the boundaries of the property on which the timber harvest will take place and the boundaries of the proposed harvest area within that property;
 - (2) Significant topographic features related to potential environmental problems;
 - (3) Location of all earth
 disturbance activities
 such as roads, landings,
 and water control measures and structures;

- (4) Location of all crossings of waters of the Commonwealth; and
- (5) The general location of the proposed operation to municipal and state highways, including any accesses to those highways.
- c. Compliance with state law.
 The logging plan shall address
 and comply with the requirements of all applicable state
 laws and regulations including,
 but not limited to, the
 following:
 - (1) Erosion and sedimentation control regulations contained in 25 Pennsylvania Code, Chapter 102, promulgated pursuant to the Clean Streams Law (35 P.S. §§691.1 et seq.);
 - (2) Stream crossing and wet lands protection regulations contained in 25 Pennsylvania Code, Chapter 105, promulgated pursuant to the Dam Safety and Encroachments Act (32 P.S. \$\$693.1 et seg.); and
- d. Relationships of state laws, regulations, and permits to the logging plan. Any permits required by state laws and regulations shall be attached to and become part of the logging plan. An erosion and sedimentation pollution control plan that satisfies the requirements of 25 Pennsylvania Code, Chapter 102, shall also satisfy the requirements for the logging plan and associated map specified in paragraphs (a) and (b) of this section, provided that all information required by these paragraphs is included or attached.

Section 6. Forest Practices

The logging plan typically is written for the extent of the harvest, to show compliance with state regulations, and to identify measures to be taken as part of the harvest. In addition to the logging plan, it may be reasonable for a local timber harvesting ordinance to include provisions relating to specific forest practices. Often, these provisions, such as those in the model ordinance, relate to issues of public safety, trespass, and aesthetics. These types of provisions are most common in a local timber harvest ordinance. Other ordinances in the past have included a variety of other types of forest practices, which are unreasonable and can be an obstacle to the best silvicultural methods suitable for the stand at that time. These unreasonable provisions include:

- Limits on clear-cutting
- Selection harvesting
- Buffer strips
- Logging on steep slopes
- Hours of operation
- Mud on the roads

As each forest stand is different, so too will each timber harvest be different. As a result, an ordinance that includes provisions allowing, requiring, or disallowing certain forest practices throughout a municipality fails to take into consideration a particular site's unique circumstances and ecological requirements as well as the cost ramifications of carrying them out.

The following requirements shall apply to all timber harvesting operations in the township (borough).

- a. Felling or skidding on or across any public thoroughfare is prohibited without the express written consent of the township (borough) or the Pennsylvania Department of Transportation, whichever is responsible for maintenance of the thoroughfare.
- b. No tops or slash shall be left within twenty-five (25) feet of any public thoroughfare or private roadway providing access to adjoining residential property.
- c. All tops and slash between twenty-five (25) and fifty (50) feet from a public roadway or private roadway providing access to adjoining residential property or within fifty (50) feet of adjoining residential property shall be lopped to a maximum height of four (4) feet above the surface of the ground.
- d. No tops or slash shall be left on or across the boundary of any property adjoining the operation without the consent of the owner thereof.
- e. Littering resulting from a timber harvesting operation shall be removed from the site before it is vacated by the operator.

Section 7. Responsibility for Road Maintenance and Repair; Road Bonding

A municipality has a legitimate reason to be concerned about the damage that a timber harvesting operation may cause to the local roads. By including in a timber harvesting ordinance the right to require a road bond, a municipality can ensure that any road repairs needed as a result of a timber hauling will be covered by the responsible party. Any road bond, however, must be consistent with the requirements of the uniform statewide requirements set forth in 67 Pennsylvania Code, Chapter 185. These requirements already set statewide standards for ensuring that local road repairs are completed by the party responsible for the damage.

A timber harvesting ordinance may only include a provision requiring a road bond if this provision is consistent with these statewide regulations and such requirements have been adopted in a separate ordinance that addresses the bonding of local roadways. Any provision inconsistent with the requirements of Chapter 189 is not lawful and could expose the municipality to civil actions.

In addition, local government should not include bonding requirements for anything other than roads in a local timber harvesting ordinance. Any performance or regeneration bond that requires the timber harvesting operation to provide funds to complete additional requirements as stated in the ordinance is unreasonable. Such requirements

can present a significant burden to the landowner or operation in terms of time and money. The requirement to complete a logging plan in fulfillment of the ordinance imposes a legal duty on the landowner or company to comply with that plan. If this duty is not fulfilled, the municipality already has the legal authority to require the landowner or operator to correct the problem. Therefore, any additional bonding requirements are unnecessary and unreasonable.

Pursuant to Title 75 Pennsylvania Consolidated Statutes, Chapter 49, and Title 67 Pennsylvania Code, Chapter 189, the landowner and the operator shall be responsible for repairing any damage to township (borough) roads caused by traffic associated with the timber harvesting operation to the extent the damage is in excess of that caused by normal traffic and may be required to furnish a bond to guarantee the repair of such damages.

Section 8. Enforcement

The enforcement section of the ordinance does three things: (1) it specifies who is responsible for enforcing the ordinance, (2) it defines the mechanisms of the enforcement, (3) and it establishes the penalties that will result from failing to comply with the ordinance. In addition to these three things, it is important to grant access to the timber harvesting operations to the individual who will be chosen as the enforcement officer. In the model ordinance, this is done through paragraph (b). Often, a community will appoint the zoning or code enforcement officer to enforce the provisions in the timber harvesting ordinance.

When considering what mechanisms of enforcement to use and what penalties to apply to violations, a community needs to think carefully about what is reasonable. Various levels of tools can be used, based on the situation. For example, a written notice may be enough to solve the problem identified by the enforcement officer. When this does not work, however, other measures, such as ordering a suspension of operations, may be issued. Finally, if all other measures fail, a municipality can reserve the right to seek criminal penalties.

- a. Township (Borough)
 Enforcement Officer.
 The ______shall be the enforcement officer
 for sections 1 through 8.
- b. Inspections. The township (borough) enforcement officer may go upon the site of any timber harvesting operation before, during, or after active logging to (1) review the logging plan or any other required documents for compliance with sections 1 through 8, and (2) inspect the operation for compliance with the logging plan and other on-site requirements of these regulations.
- c. Violation notices; suspensions. Upon finding that a timber harvesting operation is in violation of any provision of sections 1 through 8, the township (borough) enforcement officer shall issue the operator and the landowner a written notice of violation describing each violation and specifying a date by which corrective action must be taken. The township (borough) enforcement officer may order the immediate suspension of any

- operation upon finding that (1) corrective action has not been taken by the date specified in a notice of violation; (2) the operation is proceeding without a logging plan; or (3) the operation is causing immediate harm to the environment. Suspension orders shall be in writing, shall be issued to the operator and the landowner, and shall remain in effect until, as determined by the township (borough) enforcement officer, the operation is brought into compliance with sections 1 through 8 or other applicable statutes or regulations. The landowner or the operator may appeal an order or decision of an enforcement officer within thirty days of issuance to the governing body of the township (borough).
- d. Penalties. Any landowner or operator who (1) violates any provision of sections 1 through 8; (2) refuses to allow the township (borough) enforcement officer access to a harvest site pursuant to paragraph (b) of this section; or (3) fails to comply with a notice of violation or suspension order issued under paragraph (c) of this section is guilty of a summary offense and upon conviction shall be subject to a fine of not less than \$100 nor more than \$300, plus costs, for each separate offense. Each day of continued violation of any provision of sections 1 through 8 shall constitute a separate offense.

Prepared by Mike Jacobson, Elif Kaynak, and Coreen Ripp, School of Forest Resources

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EXHIBIT B

PSU College of Agricultural Science's Pennsylvania Model Forestry Regulations

PENNSYLVANIA MODEL FORESTRY REGULATIONS

Using the Model

Before deciding to adopt any ordinance regulating forestry activities, your community should carefully weigh the questions raised in "Timber Harvesting in Pennsylvania." Adoption of local regulations is not the answer for all communities.

If your community decides that regulations are necessary, the following model ordinance may be helpful. It was first developed in 1994 by a team of professional foresters led by Penn State's School of Forest Resources and was updated in January 2000 to conform to the new forestry-related changes to the Municipal Planning Code effected by Acts 67 and 68 of 2000.

The model is intended to address fairly the needs and concerns of local citizens as well as forest landowners and the forestry industry. It is also designed to be consistent with the so-called "Right to Practice Forestry" provision (P.S.§10603(f)) of the Municipalities Planning Code.

This model is best applied with the assistance of a professional forester who has the expertise to help ensure that the final regulations are tailored to your community's particular circumstances. "Timber Harvesting in Pennsylvania" provides information on how to make contact with a professional forester. Other interested members of the forestry community, such as landowners, loggers, and forest products manufacturers, should also be given an opportunity to become involved in developing the ordinance.

This informational booklet, published by the Penn State School of Forest Resources, is available from the Pennsylvania State Association of Township Supervisors (telephone: 717-763-0930; Pennsylvania Department of Community and Economic Development, Governor's Center for Local Government Services (telephone: 717-783-0176); Pennsylvania Department of Agriculture, Hardwoods Development Council (telephone: 717-772-3715); Penn State School of Forest Resources (telephone: 814-863-0401); Pennsylvania Department of Conservation and Natural and Natural Resources, Bureau of Forestry District offices; Pennsylvania Forestry Association (telephone: 717-766-5371);

and the Hardwood Lumber Manufacturers Association of Pennsylvania (telephone: 717-312-1244)

Model Regulations

Section 1. Policy; Purpose. In order to conserve forested open space and the environmental and economic benefits they provide, it is the policy of the Township [Borough] of _______ to encourage the owners of forest land to continue to use their land for forestry purposes, including the long-term production of timber, recreation, wildlife, and amenity values. The timber harvesting regulations contained in sections 1 through 8 are intended to further this policy by (1) promoting good forest stewardship; (2) protecting the rights of adjoining property owners; (3) minimizing the potential for adverse environmental impacts; and (4) avoiding unreasonable and unnecessary restrictions on the right to practice forestry.

Section 2. Scope; Applicability. To encourage maintenance and management of forested or wooded open space and promote the conduct of forestry as a sound and economically viable use of forested land throughout the township [borough], forestry activities, including timber harvesting, shall be a permitted use by right in all zoning districts. Sections 1 through 8 apply to all timber harvesting within the Township [Borough] where the value of the trees, logs, or other timber products removed exceeds \$1,000. These provisions do not apply to the cutting of trees for the personal use of the landowner or for pre-commercial timber stand improvement.

Section 3. Definitions. As used in Sections 1 through 8, the following terms shall have the meanings given them in this section.

- a. "Felling" means the act of cutting a standing tree so that it falls to the ground.
- b. "Forestry" means the management of forests and timberlands when practiced in accordance with accepted silvicultural principles, through developing, cultivating, harvesting, transporting and selling trees for commercial purposes, which does not involve any land development.²
- c. "Landing" means a place where logs, pulpwood, or firewood are assembled for transportation to processing facilities.
- d. "Litter" means discarded items not naturally occurring on the site such as tires, oil cans, equipment parts, and other rubbish.
- e. "Lop" means to cut tops and slash into smaller pieces to allow the material to settle close to the ground.

² Only forests and timberlands subject to residential or commercial development shall be regulated under the township's [borough] land development and subdivision ordinance.

- f. "Operator" means an individual, partnership, company, firm, association, or corporation engaged in timber harvesting, including the agents, subcontractors, and employees thereof.
- g. "Landowner" means an individual, partnership, company, firm, association, or corporation that is in actual control of forest land, whether such control is based on legal or equitable title, or on any other interest entitling the holder to sell or otherwise dispose of any or all of the timber on such land in any manner, and any agents thereof acting on their behalf, such as forestry consultants, who set up and administer timber harvesting.
- h. "Pre-commercial timber stand improvement" means a forest practice, such as thinning or pruning, which results in better growth, structure, species composition, or health for the residual stand but which does not yield a net income to the landowner, usually because any trees cut are of poor quality, too small or otherwise of limited marketability or value.
- i. "Skidding" means dragging trees on the ground from the stump to the landing by any means.
- j. "Slash" means woody debris left in the woods after logging, including logs, chunks, bark, branches, uprooted stumps, and broken or uprooted trees or shrubs.
- k. "Stand" means any area of forest vegetation whose site conditions, past history, and current species composition are sufficiently uniform to be managed as a unit.
- 1. "Stream" means any natural or artificial channel of conveyance for surface water with an annual or intermittent flow within a defined bed and banks.
- m. "Timber harvesting," "tree harvesting," or "logging" means that part of forestry involving cutting down trees and removing logs from the forest for the primary purpose of sale or commercial processing into wood products.
- n. "Top" means the upper portion of a felled tree that is unmerchantable because of small size, taper, or defect.
- o. "Wetland" means areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances, do support a prevalence of vegetation typically adapted for life in saturated soil conditions including swamps, marshes, bogs, and similar areas.

Section 4. Notification; preparation of a logging plan.

a. Notification of commencement or completion. For all timber harvesting operations that are expected to exceed ____ acres, the landowner shall notify the township [borough] enforcement officer at least ____ business days before the

operation commences and within ____ business days before the operation is complete. No timber harvesting shall occur until the notice has been provided. Notification shall be in writing and shall specify the land on which harvesting will occur, the expected size of the harvest area, and, as applicable, the anticipated starting or completion date of the operation.

- b. Logging plan. Every landowner on whose land timber harvesting is to occur shall prepare a written logging plan in the form specified by this ordinance. No timber harvesting shall occur until the plan has been prepared. The provisions of the plan shall be followed throughout the operation. The plan shall be available at the harvest site at all times during the operation and shall be provided to the township [borough] enforcement officer upon request.
- c. Responsibility for compliance. The landowner and the operator shall be jointly and severally responsible for complying with the terms of the logging plan.

Section 5. Contents of the logging plan.

- a. Minimum requirements. As a minimum, the logging plan shall include the following:
 - Design, construction, maintenance, and retirement of the access system, including haul roads, skid roads, skid trails and landings;
 - (2) Design, construction, and maintenance of water control measures and structures such as culverts, broad-based dips, filter strips, and water bars;
 - (3) Design, construction, and maintenance of stream and wetland crossings; and
 - (4) The general location of the proposed operation in relation to municipal and state highways, including any accesses to those highways.
- **b.** Map. Each logging plan shall include a sketch map or drawing containing the following information:
 - (1) Site location and boundaries, including both the boundaries of the property on which the timber harvest will take place and the boundaries of the proposed harvest area within that property;
 - (2) Significant topographic features related to potential environmental problems;
 - (3) Location of all earth disturbance activities such as roads, landings, and water control measures and structures;

- (4) Location of all crossings of waters of the Commonwealth; and
- (5) The general location of the proposed operation to municipal and state highways, including any accesses to those highways.
- c. Compliance with state law. The logging plan shall address and comply with the requirements of all applicable state laws and regulations including, but not limited to, the following:
 - (1) Erosion and sedimentation control regulations contained in 25 Pennsylvania Code, Chapter 102, promulgated pursuant to the Clean Streams Law (35 P.S. §§691.1 et seq.);
 - (2) Stream crossing and wetlands protection regulations contained in 25 Pennsylvania Code, Chapter 105, promulgated pursuant to the Dam Safety and Encroachments Act (32 P.S. §§693.1 et seq.); and
- d. Relationships of state laws, regulations, and permits to the logging plan. Any permits required by state laws and regulations shall be attached to and become part of the logging plan. An erosion and sedimentation pollution control plan that satisfies the requirements of 25 Pennsylvania Code, Chapter 102, shall also satisfy the requirements for the logging plan and associated map specified in paragraphs (a) and (b) of this section, provided that all information required by these paragraphs is included or attached.

Section 6. Forest practices. The following requirements shall apply to all timber harvesting operations in the Township [Borough].

- a. Felling or skidding on or across any public thoroughfare is prohibited without the express written consent of the Township [Borough] or the Pennsylvania Department of Transportation, whichever is responsible for maintenance of the thoroughfare.
- b. No tops or slash shall be left within twenty-five feet of any public thoroughfare or private roadway providing access to adjoining residential property.
- c. All tops and slash between twenty-five and fifty feet from a public roadway or private roadway providing access to adjoining residential property or within fifty feet of adjoining residential property shall be lopped to a maximum height of four feet above the surface of the ground.
- d. No tops or slash shall be left on or across the boundary of any property adjoining the operation without the consent of the owner thereof.
- e. Littering resulting from a timber harvesting operation shall be removed from the site before it is vacated by the operator.

Section 7. Responsibility for road maintenance and repair; road bonding. Pursuant to Title 75 Pennsylvania Consolidated Statutes, Chapter 49; and Title 67 Pennsylvania Code, Chapter 189, the landowner and the operator shall be responsible for repairing any damage to Township [Borough] roads caused by traffic associated with the timber harvesting operation to the extent the damage is in excess of that caused by normal traffic may be required to furnish a bond to guarantee the repair of such damages.

Section 8. Enforcement.

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- a. Township [Borough] Enforcement Officer. The ______ shall be the enforcement officer for sections 1 through 8.
- b. Inspections. The township [borough] enforcement officer may go upon the site of any timber harvesting operation before, during, or after active logging to (1) review the logging plan or any other required documents for compliance with sections 1 through 8 and (2) inspect the operation for compliance with the logging plan and other on-site requirements of these regulations.
- c. Violation notices; suspensions. Upon finding that a timber harvesting operation is in violation of any provision of sections 1 through 8, the township [borough] enforcement officer shall issue the operator and the landowner a written notice of violation describing each violation and specifying a date by which corrective action must be taken. The township [borough] enforcement officer may order the immediate suspension of any operation upon finding that (1) corrective action has not been taken by the date specified in a notice of violation; (2) the operation is proceeding without a logging plan; or (3) the operation is causing immediate harm to the environment. Suspension orders shall be in writing, shall be issued to the operator and the landowner, and shall remain in effect until, as determined by the township [borough] enforcement officer, the operation is brought into compliance with sections 1 through 8 or other applicable statutes or regulations. The landowner or the operator may appeal an order or decision of an enforcement officer within thirty days of issuance to the governing body of the Township [Borough].
- d. Penalties. Any landowner or operator who (1) violates any provision of sections 1 through 8; (2) refuses to allow the township [borough] enforcement officer access to a harvest site pursuant to paragraph (b) of this section or who fails to comply with a notice of violation or suspension order issued under paragraph (c) of this section is guilty of a summary offense and upon conviction shall be subject to a fine of not less than one hundred dollars nor more than three hundred dollars, plus costs, for each separate offense. Each day of continued violation of any provision of sections 1 through 8 shall constitute a separate offense.

EXHIBIT C

PSU College of Agricultural Sciences, Timber Harvesting in Pennsylvania, Information for Citizens and Local Government Officials

TIMBER HARVESTING in Pennsylvania

Information for Citizens and Local Government Officials



PENNSTATE



College of Agricultural Sciences School of Forest Resources

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This publication was produced by the Penn State College of Agricultural Sciences School of Forest Resources, in cooperation with the Pennsylvania Department of Conservation and Natural Resources Bureau of Forestry, the Pennsylvania Forestry Association, the Pennsylvania Forest Products Association, the Pennsylvania Division of the Allegheny Society of American Foresters, the Pennsylvania State Association of Township Supervisors, and the Pennsylvania Hardwoods Development Council. The original publication was supported in part by a grant from the U.S. Forest Service State and Private Porestry Program. The revisions were supported by a grant from the Pennsylvania Hardwoods Development Council. This is a second edition, previously published in 1994.

orests are important to Pennsylvanians. We all want to ensure that the beauty, diversity, extent, and productivity of Penn's Woods are maintained for many generations to come. The state legislature recognizes that well-planned and executed timber harvesting is essential to achieving this goal. As a part of its 2000 Smart Growth package of amendments (Acts 67 and 68) to the state Municipalities Planning Code (MPC), the Pennsylvania General Assembly amended Section 603 [Zoning](f) to state: "Zoning ordinances may not unreasonably restrict forestry activities. To encourage maintenance and management of forested or wooded open space and promote the conduct of forestry as a sound and economically viable use of forested land throughout this Commonwealth, forestry activities, including, but not limited to, timber harvesting, shall be a permitted use by right in all zoning districts in every municipality." In Section 107 [Definitions] of the MPC, the legislature defines "Forestry" as "the management of forests and timberlands when practiced in accordance with accepted silvicultural principles, through developing, cultivating, harvesting, transporting, and selling trees for commercial purposes, which does not involve any land development." Through this statutory language, the legislature calls on all municipalities to view the management of the forest base, like the maintenance of a family farm, as a sound and preferred use of the land, and to encourage its continued presence in the community.

The purpose of this guide is to give community leaders a better understanding of forestry and timber harvesting, and to help them make administrative decisions that reflect forestry's important, ongoing role in sustaining open space throughout the state. The guide also identifies sources of additional information

and assistance.

Economic Importance of Forest Products to Pennsylvania

Pennsylvania's forest products industry is vital to the state's economy and to the livelihood of many of its residents.

- Pennsylvania is the nation's largest producer of hardwood lumber, producing over 1 billion board feet per year.
- Annual economic contribution of forest products exceeds \$5 billion.
- The industry provides more than 90,000 jobs (10 percent of Pennsylvania's manufacturing workforce) in 2,600 companies.
- The total industry payroll exceeds \$2 billion per year.
- Payments to private landowners for timber sales exceed \$350 million yearly.
- Pennsylvania's 500,000 private landowners own 75 percent (12.5 million acres) of the state's forestland and supply 80 percent of its timber products.

Benefits of Forestry

Timber management encourages the preservation of open space. The report of the Pennsylvania Twenty-First Century Environment Commission recommends that farms and forests remain among "preferred open space uses of the land," and that these land uses be "sustained, profitable, and environmentally sound." Since forests provide landowners with income from standing timber, timber harvesting is an incentive for them to maintain woodland on their property. Eliminating or significantly limiting this potential source of income makes alternatives such as commercial and residential development more attractive.

Timber management provides tax benefits to local governments. According to a study conducted by the American Farmland Trust, timberland and farmland yield an average of \$3 in taxes for every \$1 in required governmental services, while residential land costs \$1.11 in services for every \$1 collected in tax revenues.

Timber harvesting increases habitat diversity and provides other wildlife benefits. Many animal species, such as the golden-winged warbler, bluebird, hare, deer, and ruffed grouse, benefit from younger forests and the temporary openings created by timber harvesting. However, as a result of logging practices in the early part of this century, most of Pennsylvania's forests consist of mature trees that are all approximately the same age. Little of the forest is made up of younger trees such as seedlings or saplings. This imbalance in the proportion of younger trees causes an imbalance in wildlife and plant habitats. Timber harvesting increases the proportion of younger trees,

which in turn allows for greater habitat diversity and a greater variety of plants and animals in forest areas.

Timber harvesting increases the variety of food sources, making wildlife less dependent on a single source. In addition, slash created from limbs and tops of harvested trees provides small mammals and birds with winter shelter and protection from predators.

Timber management may result in improved recreational opportunities. Trails and roads created during timber harvesting can then be used for hiking and cross-country skiing after harvesting is complete. Also, vistas can be created, adding to the visual enjoyment of the forest.

Basic Forest Management Principles

Forests change constantly. Because changes in a forest take place very slowly, sometimes over periods of hundreds of years, people often view forests as static. But forests are actually dynamic communities of plants and animals. Undisturbed forests go through a predictable series of changes in species composition and physical structure over time. These relatively slow changes continue until a major disturbance such as a fire, windstorm, or insect outbreak starts the growth cycle over again.

Prior to European settlement, the length of time between major disturbances in most Pennsylvania forests was probably about 300 years. However, much of today's forest did not exist 60 to 90 years ago. Large-scale industrial logging, subsequent wide-spread fires, and the devastating chestnut blight had eliminated nearly all of Pennsylvania's old-growth forest by 1930. Huge areas of the Commonwealth were entirely deforested, and the magnificent forests we enjoy today literally rose from the ashes naturally with the advent of effective forest fire prevention and control programs.

Even without further disturbance, Pennsylvania's forests will change substantially over time (see figures 1–6). The valuable black cherry trees on the Allegheny Plateau, for example, will give way to more shade-tolerant species, such as sugar maple and beech, and the proportion of oaks in the Ridge and Valley Region will decline in relation to red maple. Natural disturbances, such as the 1985 Memorial Day tornadoes (see figures 7–8) or insects and diseases, may accelerate or slow down these changes.

Timber harvesting mimics the natural disturbances that sustain forests. Foresters and loggers work with, not against, the processes of natural change by harvesting wood that would otherwise be lost to natural mortality, and by promoting the kinds of trees that best meet landowners' objectives. The patterns of natural change in forests result from variations in

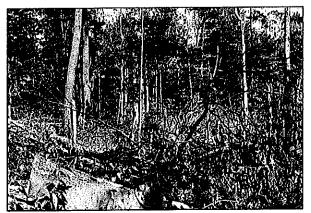


Figure 1. Little Arnot Run 1927—During logging. The sawtimber has been harvested. The following five photos, which were taken from the same point, illustrate the growth and development of a forest stand following harvest.

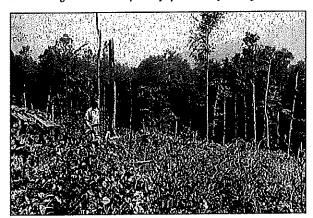


Figure 2. Little Arnot Run 1928—Logging is complete and regeneration has begun.

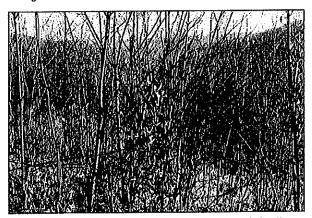


Figure 3, Little Arnot Run 1937—In ten years, a dense stand of saplings has developed.

shade tolerance among different kinds of trees. Some species, such as black cherry and yellow poplar, require full sun to become established and to grow. These are known as shade-intolerant species. More tolerant species, such as sugar maple and hemlock, can become established and grow well in shaded areas, but they are soon surpassed by faster-growing

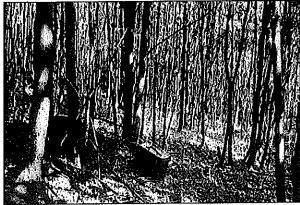


Figure 4. Little Arnot Run 1947—The twenty-year-old stand is thinning itself naturally as the trees compete for sunlight.

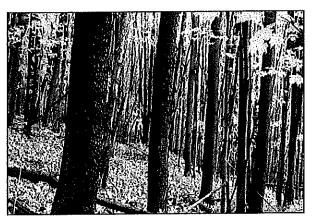


Figure 5, Little Arnot Run 1968—Forty-one years after logging, natural thinning has reduced the stand to a few hundred trees per acre.



Figure 6. Little Arnot Run 1998—Seventy-one years after logging, with trees reaching 20 to 24 inches in diameter, the stand will soon be ready to harvest again.

intolerant species in sunnier locations. A third group, including northern red oak and eastern white pine, can tolerate moderate amounts of shade.

The techniques, or silvicultural systems, foresters use to harvest and regenerate trees generally manipulate the relative amounts of sun and shade to promote selected species. Intolerant species benefit from cutting practices that are more like the large-scale natural disturbances caused by fire, wind, or insect epidemics, while tolerant species benefit from smaller disturbances, similar to those caused by the death of an individual tree or a small group of trees. In Pennsylvania, some of the most important economic assets of forests are produced by species that are intolerant of shade.

Both clear-cutting and selection cutting are acceptable silvicultural practices for managing Pennsylvania's forests. Clear-cutting, in which an entire timber stand is cut, is one of the silvicultural systems used by foresters to regenerate, or renew, forests. Like large-scale natural disturbances, clear-cutting promotes the establishment and growth of



Figure 7. Tionesta 1985-Tornado damage.



Figure 8. Tionesta 1992-Tornado site seven years later.

intolerant and intermediate species, such as black cherry and oak. It is used when landowners have a reason to harvest the existing trees, and when the seedlings that will become the future forest are already present or the area is to be replanted. Reasons to harvest might include the financial maturity of most of the trees or a desire to create temporary open habitat for certain wildlife species.

Clear-cutting is appropriate for Pennsylvania's two major forest types, northern hardwood and oak/hickory. It creates a new forest with trees of roughly the same age, or an even-aged forest. Another way to promote the establishment of seedlings is with a technique called shelterwood, which temporarily retains 30 to 70 percent of the forest canopy. Without clear-cutting or other even-aged management and harvesting techniques, the proportion of black cherry and oak in Pennsylvania forests will be reduced in the future.

Selection cutting, a regeneration technique in which trees are removed singly or in small groups, is appropriate for forests comprised of trees of different ages, or uneven-aged forests. Properly applied, selection cutting will remove not only some larger, higherquality trees, but also many smaller, lower-quality ones. This will increase the growing space for the remaining trees and create areas where new seedlings can become established. The intent is to retain a full range of trees, from large old trees to seedlings. This process is designed to control species composition, age structure, and tree quality. Since the forest canopy remains largely intact, selection cutting is best used on shade-tolerant species, such as sugar maple, beech, and hemlock.

Diameter-limit cutting generally is a destructive practice. It is well known that high-grading (also referred to as "selective cutting"), or taking only the largest, best trees of the most valuable species, leads to a progressive deterioration of forest variety and quality. However, many people do not realize that diameter-limit cutting can be almost as destructive. When all trees above a certain diameter (measured at 4.5 feet above the ground) are removed, the smaller, slower-growing specimens are left. In Pennsylvanian even-aged forests, small trees are usually about the same age as large ones. However, these small trees may be (1) a different species; (2) genetically inferior; or (3) in a poor location. Diameter-limit cutting shifts the composition of the forest toward slower-growing, less valuable shade-tolerant species, and it may degrade the quality of the forest by promoting inferior trees. It may also limit future options for the forest and slow down recovery from disturbance by eliminating the sources of seed for the species removed.

Tree planting (artificial regeneration) generally is not necessary in Pennsylvania. Through the use of acceptable silvicultural practices, most of Pennsylvania's forests will regenerate naturally from seeds or sprouts. Studies show that naturally regenerated trees usually grow faster and survive better than planted trees. However, trees may have to be planted to reforest former strip mine sites, old fields, conifer plantations, and areas where insects or diseases have killed all the seed-producing trees.

The visual impacts of timber harvesting are temporary and infrequent. The visual evidence of logging is nearly invisible to the casual observer after 3 to 5 years, as slash rots and new tree seedlings and other vegetation renew disturbed areas. After a harvest, loggers are unlikely to revisit the area for another 15 years or more.

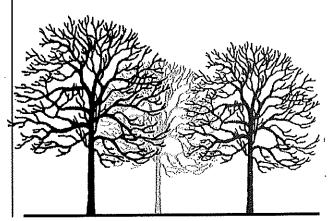
The visual impacts of timber harvesting can be reduced by good planning. Foresters have developed effective management guidelines to minimize unsightly effects of logging. For example, logging roads and landings can be screened by topography and vegetation. Landowners can retain selected large trees to provide fall color and interesting patterns. Other techniques that can make logging sites more attractive include cutting stumps close to the ground, minimizing debris by using as much of each tree as possible, and trimming or lopping the unused tops of trees in visually sensitive areas so that they lie close to the ground.

Forestry is not land development. Few landowners harvest timber in preparation for land development. History shows that landowners who have the relative freedom to harvest their woodlots for economic gain have an incentive to leave the forest in an undeveloped condition. Conversely, forest landowners who become subject to unreasonable levels of regulation, often to the point of making active management of their forests uneconomical, often convert their land to development uses. As with farmers, forest landowners should be encouraged by their communities to keep their lands in a perpetually forested condition. Municipal land-use regulation should be reasonable, making allowances for harvesting while providing for the continuation of the natural resource.

According to U.S. Forest Service inventories, forest areas are actually increasing in Pennsylvania. Forest area throughout the Commonwealth is currently at its highest level since the late nineteenth century. In the heavily populated Southeast, forestland increased more than 6 percent between 1978 and 1989. Likewise, it increased 4.5 percent in the Northeast and 3 percent in the West. Even in the Poconos, an area of rapid population growth, total forestland increased 1 percent. Today, about 60 percent of Pennsylvania is forested.

Timber harvesting generally has little adverse effect on water quality and does not cause flooding. Forest soils are very absorbent. They act as living filters and reduce surface runoff much more than other surfaces such as grass, cultivated fields, or parking lots. Logging normally disturbs less than 10 percent of the forest soil in the harvest area and therefore does not change forest soil characteristics. In fact, the Department of Environmental Protection's (DEP) water quality assessment of over 35,000 miles of rivers and streams found only 3 miles impaired as a result of silvicultural activities. (Silviculture ranked last among 32 land-use activities identified in the study as having an impact on water quality.) Also, forest management in Pennsylvania does not rely heavily on herbicides or fertilizers. Disturbed soils are a concern, but by law, a plan must be developed to address potential problems before a proposed timber harvest can commence. (See the section on state regulation below.)

Timber harvesting affects only a small portion of Pennsylvania forests each year. In spite of substantial increases in timber harvesting in recent years, a 1989 inventory of Pennsylvania forests showed that forest areas were increasing in volume twice as fast as they were being cut or lost to natural mortality. Overall, the annual Pennsylvania timber harvest is less than 1 percent of the current standing-timber volume.



State Regulation of Timber Harvesting

Several aspects of timber harvesting are regulated extensively under state law. If local governments or citizens have concerns about regulated activities, the most cost-effective way to deal with them is to work with the appropriate state officials or their local agents. (The Appendix contains suggestions on whom to contact for help on various issues.) The following is a summary of the primary state regulations affecting timber harvesting in Pennsylvania.

All timber harvesting operations in Pennsylvania must have a plan to control erosion and sedimentation. Operations that disturb 25 or more acres of land require an erosion and sedimentation control permit; however, timber operations seldom need permits as they disturb very little land. While timber harvesting generally does not have a major impact on soil or water resources, the construction of access roads, log landings, and skid trails can cause temporary soil disturbance in the harvested area. As a result, state regulations (25 Pa. Code, Chapter 102) require that all earth disturbances have a site-specific erosion and sediment control plan. The plan must (1) be designed to minimize erosion and sediment pollution associated with timber harvesting; (2) be prepared by a person trained and experienced in erosion and sedimentation control methods; (3) consider such factors as topographic features, soils, and quantity of runoff; and (4) be available at the harvest site. DEP regional offices are responsible for enforcing the regulation. The program is also delegated to the County Conservation Districts (CCDs). Since the state-mandated requirements are already thorough and rigorous, communities are discouraged from adding regulatory standards that exceed the scope of existing state regulations in their local ordinances.

Stream crossings may require permits. Timber harvesting frequently requires that access roads and skid trails be constructed across streams. To minimize any impact on water flows or quality, stream crossings are allowed only under certain circumstances. State regulations (25 Pa. Code, Chapter 105) require permits for all types of crossings, including culverts, bridges, and fords, that drain more than 100 acres or require wetland fills. Permit applications must be accompanied by an erosion and sediment control plan approved by the local County Conservation District. DEP regional offices and CCDs are responsible for enforcement of Chapter 105 regulations. The DEP also issues general stream-crossing permits to the CCDs, which should be directly consulted for stream-crossing options.

All crossings of wetlands by logging access roads and skid trails require permits under both state and federal law. Wetlands are regulated jointly by the U.S.

Environmental Protection Agency (EPA), the U.S. Army Corps of Engineers, and the state Department of Environmental Protection (DEP). A goal of Chapter 105 is to protect water quality, the natural hydrologic regime, and the carrying capacity of watercourses, including wetlands. Although tree harvesting is allowed in wetland areas in most cases, Chapter 105 prohibits the "encroachment" (for example, a road crossing) of any wetland without a permit from the DEP. The permit application must be accompanied by the erosion and sediment control plan described above and a letter from the local CCD stating that it has reviewed the plan and found it to be satisfactory. The DEP and the Corps have a consolidated joint permit application process. The permit issued by the DEP will usually satisfy federal application requirements, utilizing a Federal State Programmatic General Permit (PASPGP); in special cases, the Corps issues a separate permit. Enforcement of Chapter 105, as it relates to watercourses such as wetlands, is the responsibility of the DEP regional offices.

Fish habitat must be maintained. Chapter 25 of the Fish and Boat Code (30 Pa. C.S.A. §§2051-2506) prohibits any alteration or disturbance of streams, fish habitat, or watershed that in any way may damage or destroy habitat without the necessary permits from the DEP, including those required under 25 Pa. Code Chapters 102 and 105. The Fish and Boat Code also states that no substance harmful to fish life may be allowed to run, wash, or flow into the waters of the Commonwealth. Enforcement of the code is the responsibility of the Fish and Boat Commission's waterways conservation officers.

Dealing with Potential Damage to Local Roads

The potential impact of logging truck traffic on local roads concerns many officials. Some of the roads and bridges in forest areas may not be designed to support heavy loads, and the prospect of costly repairs has prompted some local governments to enact roadbonding ordinances. In addition, the Pennsylvania legislature has mandated legal standards for all overweight hauling in Title 75PCS, Chapter 49. Under this system, local road posting and bonding must comply with state procedures and standards required by law as specified in Road Bonding Regulations: Hauling in Excess of Posted Weight Limit on Highways (67 Pa. Code, Chapter 189). Information about these laws and regulations can be found in PennDot publication 221: Posting and Bonding Procedures for Municipal Highways, which may be obtained from the Local Technical Assistance Program. (See the Appendix for the address and telephone number.) The section below describes some of the key procedures and standards

for posting and bonding of roads and for bridges located on posted roads. Similar requirements apply to bridges posted independently of roads.

Posting. A road must be posted with a weight limit before a bond can be required of a hauler. The steps taken to establish a weight limit include (1) completing an engineering and traffic study that supports the need for a weight restriction; (2) passing an ordinance identifying the road segment and setting the weight restriction; (3) advertising the posting two times in a general circulation newspaper at least five days prior to actual posting; (4) contacting known heavy haulers who are using the road about executing a maintenance agreement; and (5) erecting standard signs showing the weight limit.

Excess maintenance agreement. After posting a road, the local government enters into an excess maintenance agreement with each hauler who will be operating overweight vehicles on that road. This agreement allows the local government to shift responsibility for repairing road damages on a pro rata basis to the haulers who damage the road. Note that haulers are only responsible for damage they cause in excess of normal wear and tear on the road.

Permits. Driving an overweight vehicle on posted roads generally requires a permit. The type of permit depends on the number of vehicles, the number of posted roads used, and the amount of use. Permits are issued only after an excess maintenance agreement has been signed.

Inspections and monitoring. Before overweight hauling begins, the local government inspects the road to determine its condition. The hauler, who pays for this service, has the right to be present. After hauling begins, the local government is responsible for monitoring the condition of the road and notifying the hauler of any necessary repairs. If the local government is responsible for making the repairs under the excess maintenance agreement, the local government bills the hauler for the costs.

Security (bonding). Haulers generally must provide security to ensure payment for any road repairs for which they are responsible under the agreement. This security is usually a performance bond, a standby letter of credit, or a certified bank check. The regulations specify the amount of security that may be required for unpaved roads (\$6,000 per linear mile) and paved roads (\$12,500 per linear mile) in cases wherein the hauler agrees not to downgrade the road. When the local government and the hauler agree that the road type can be downgraded during hauling and restored after hauling ceases, the amount of security

required is \$50,000 per linear mile. If the hauler uses several roads for only a short time or makes relatively few trips, the rates per mile may be replaced with a flat rate of \$10,000. By following these rules, local officials can assure taxpayers that they will not have to pay for road repairs caused by overweight vehicles, including logging trucks. In addition, landowners and loggers know what to expect when uniform statewide procedures are followed.

Forestry in Your Community

In the past, only a small proportion of Pennsylvanian local governments have chosen to regulate timber harvesting. However, it may be assumed that more municipalities will consider regulating as timber harvesting increases in our maturing forests and more residential development occurs in rural areas. Development brings more people from cities and suburbs into forested areas where timber harvesting has been a traditional practice. The resulting concerns may lead to calls for the adoption of a timber-harvesting ordinance. Whether this is the best solution for a community depends on the answers to several interrelated questions. This section identifies some of the key questions and suggests a process for answering them.

Examine the need for regulations. Why has timber harvesting become a concern? How extensive and frequent is timber harvesting in the community? What effect does it have on the community? Has it caused problems? If so, how significant are they compared to other land use questions that might potentially claim some of the local government's limited time and resources?

Different problems call for different solutions. For example, if people are most concerned about the impacts of heavier truck traffic, state law and regulation already provide a solution. The same is true for concerns about erosion and sedimentation or wetland degradation. Concerns about forest regeneration or wildlife may be best addressed by education, while concerns about the effects of land development on the forest suggest that a tree preservation ordinance rather than a timber harvesting ordinance is needed. A tree preservation ordinance is designed to preserve or restore trees as part of commercial or residential development, and it should be a part of the community's land development and subdivision ordinance.

Identify and compare alternative solutions. Local regulation is rarely the only way to resolve a conflict. What are the other alternatives, and how do they compare to local regulation in terms of cost and effectiveness? Would regulation of forestry cause landowners to subdivide and develop timberland?

Consider not only the public benefits of each alternative, but also the burdens imposed on forest landowners and the forest industry. Are they reasonably balanced? Do any of the alternatives infringe upon landowner rights?

Avoid duplication of existing regulations. Find out whether your concerns are already addressed by state or local regulations. If so, further local action is not needed.

Evaluate the prospect of regulation in light of the Municipal Planning Code (MPC) amendments prohibiting local governments from using a zoning ordinance to unreasonably restrict forestry activities (53 P.S. §10603(f)). The latest MPC amendments specifically direct all municipalities to permit forestry activities in their zoning ordinances as a "use by right" in all zoning districts. The intent is to make it easier to carry out all forestry activities by limiting the scope of zoning and other regulations. Municipalities that choose to regulate forestry activities will have to create reasonable ordinance provisions that encourage sound forestry principles and practices.

While these statutes do not define "unreasonable restriction" and no appellate court decisions have yet interpreted them, local officials should consult with their solicitors regarding the implications of these provisions before enacting an ordinance. Municipalities that prohibit timber harvesting in forested zoning districts, or that make timbering a special exception or conditional use subject to many burdensome and time-consuming requirements that are not in compliance with the forestry provisions of the MPC, will likely be challenged both by landowners and the forest industry.

Consider additional enforcement costs. An ordinance should be enacted only if it is going to be fairly and consistently enforced, and if the municipality is capable of administering it efficiently. Nearly all local governments assign enforcement authority to the zoning or code enforcement officer, who has many other duties and who rarely has any forestry training. Before enacting an ordinance, local officials should determine the resources needed for enforcement and then proceed only if they are prepared to provide these resources.

Try to anticipate all important consequences. All too often, legislation has unintended consequences (as in Murphy's famous law). For example, by effectively eliminating timber harvesting as a potential source of revenue for forest landowners, an overly restrictive or costly ordinance might create an unintended incentive for owners to convert this land to developed uses.

Carefully evaluate proposed forestry practices. A number of existing ordinances require specific forestry practices that are either unnecessary or destructive. For instance, some require artificial forest regeneration (tree planting), which is usually unnecessary in Pennsylvania, while others mandate the generally destructive practice of diameter-limit cutting (limiting harvesting to trees above a certain minimum diameter). Even forest practices that are right for some sites may be wrong for others. For these reasons, including specific forestry practices in local ordinances is generally unadvisable.

Consider the economic and operational impacts of a proposed ordinance on loggers and landowners. Timber harvesting provides important economic benefits to communities. Forest landowners pay taxes for the expected returns from the land. The income generated by timber sales is an incentive for landowners not to develop their land. Excessive regulatory costs directly reduce landowners' timber values and might encourage them to convert their land to developed uses.

Loggers are frequently constrained by small profit margins and tight work schedules that depend largely on weather conditions. Lengthy notification and permit processes can cause serious financial and scheduling problems.

Involve the community. Regulation of timber harvesting raises complex and potentially controversial questions. Conflicts may arise over timber harvesting, usually resulting in a no-win situation for everyone. Bringing all parties together prior to enacting ordinances may prevent future conflicts and avoid lawsuits. Community participation is a way of uniting people who are concerned about a problem to discuss and address the issues before they become confrontational. By promoting a cooperative atmosphere before the regulatory process begins, both sides will be given the chance to voice their concerns and attempt to reach a mutually satisfactory conclusion.

One way to help ensure that the solution adopted is best for the community is to establish a timber harvesting ordinance committee consisting of forest landowners, loggers, environmentalists, concerned citizens, foresters, professional resource managers, and other interested individuals or organizations. The committee should be directed to carry out the tasks described above and to recommend the appropriate action.

Consult a professional forester. Foresters can provide communities with valuable advice on a wide range of forest conservation issues. Foresters are experts in managing forests to provide multiple benefits on a sustained basis. They should be involved early in any discussion of timber-harvesting regulations.

Where to Go for Help

If your township or borough is considering enacting an ordinance on forest management or timber harvesting or is concerned about the impacts of timber harvesting on your community, you should involve a professional forester. The Pennsylvania State Association of Township Supervisors can help you to locate forestry assistance. Pennsylvania Bureau of Forestry service foresters also are available to help you to work through the issues and determine what is best for your community. Other sources of assistance are private consulting foresters; the Pennsylvania Department of Community Affairs; the Penn State School of Forest Resources and Penn State extension foresters; the Pennsylvania Forest Products Association; the Pennsylvania Forestry Association; and the Society of American Foresters, A list of addresses and telephone numbers is provided in the Appendix.



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APPENDIX

Sources of Forestry Assistance

Erosion and sedimentation; water permits; other environmental issues

County Conservation Districts: There are 66 districts; see the Blue Pages in your phone directory for the district that includes your area.

DCNR BUREAU OF FORESTRY HEADQUARTERS 6TH FLOOR, RACHEL CARSON STATE OFFICE BLDG.

PO Box 8552

HARRISBURG, PA 17105-8552

Phone: 717-705-5194 Fax: 717-783-5109

http://www.dcnr.state.pa.us/forestry/forestry.htm

Bureau of Forestry district offices are listed on the back cover.

PENNSYLVANIA FOREST PRODUCTS ASSOCIATION 545 W. CHOCOLATE AVENUE

HERSHEY, PA 17033

Phone: 800-232-HLMA or 717-312-1244

Fax: 717-312-1335 http://www.hlma.org

SCHOOL OF FOREST RESOURCES COOPERATIVE EXTENSION OFFICE THE PENNSYLVANIA STATE UNIVERSITY 7 FERGUSON BUILDING University Park, PA 16802-4302

Phone: 814-863-0401 Fax: 814-865-6275 http://rnrext.cas.psu.edu

SOCIETY OF AMERICAN FORESTERS 5400 Grosvenor Lane BETHESDA, MD 20814-2198

Phone: 301-897-8720 Fax: 301-897-3690 http://www.safnet.org

This office can provide the name, address, and telephone number of the current president of the Pennsylvania Division of the Society of American Foresters.

PENNSYLVANIA FORESTRY ASSOCIATION **56 East Main Street** MECHANICSBURG, PA 17055 Phone: 717-766-5371 http://pfa.cas.psu.edu

Private Consulting Foresters:

Association of Consulting Foresters of America, Inc.— NATIONAL OFFICE

723 N. Washington Street, Suite 4-A

ALEXANDRIA, VA 22314 Phone: 703-548-0990 http://www.acf-foresters.com

The national office can provide the name, address, and telephone number of the current president of the Pennsylvania Association of Consulting Foresters. Also, forest district offices of the Bureau of Forestry can provide a list of Pennsylvania consulting foresters.

General

PENNSYLVANIA HARDWOODS DEVELOPMENT COUNCIL 2301 N. CAMERON STREET, ROOM 308 HARRISBURG, PA 17110-9408

Phone: 717-772-3715 Fax: 717-705-0063

http://www.agriculture.state.pa.us/hardwood

DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT, GOVERNOR'S CENTER FOR LOCAL GOVERNMENT SERVICES 4TH FLOOR, COMMONWEALTH KEYSTONE BLDG.

400 NORTH STREET

HARRISBURG, PA 17120-0225

Phone: 1888-2-CENTER or 717-787-8169

Fax: 717-783-1402 http://www.inventpa.com

PENNSYLVANIA STATE ASSOCIATION OF TOWNSHIP SUPERVISORS 4855 WOODLAND DRIVE ENOLA, PA 17025-1291

Phone: 717-763-0930; Fax: 717-763-9732 http://www.psats.org

Roads

PENNSYLVANIA LOCAL TECHNICAL ASSISTANCE PROGRAM PENN STATE EASTGATE CENTER 1010 N. 7th Street, Suite 304 HARRISBURG, PA 17102

Phone: 717-772-1972

http://www.ltapt2.org/pa.htm

Pennsylvania Dept. of Transportation district offices: http://www.dot.state.pa.us

(click on Regional Information button)

District 1: Crawford, Erie, Forest, Mercer, Venango, Warren

Phone: 814-678-5000

District 2: Cameron, Centre, Clearfield, Clinton, Elk, Juniata, McKean, Mifflin, Potter

Phone: 814-765-0423

District 3: Bradford, Columbia, Lycoming, Montour, Northumberland, Snyder, Sullivan, Tioga, Union

Phone: 570-368-8686

District 4: Lackawanna, Luzerne, Pike, Susquehanna, Wayne, Wyoming

Phone: 570-963-4044

District 5: Berks, Carbon, Lehigh, Monroe, Northampton, Schuylkill

Phone: 610-798-4113

District 6: Bucks, Chester, Delaware, Montgomery, Philadelphia

Phone: 610-205-6700

District 8: Adams, Cumberland, Dauphin, Franklin, Lancaster, Lebanon, Perry, York

Phone: 717-787-6653

District 9: Bedford, Blair, Cambria, Fulton, Huntingdon, Somerset Phone: 814-696-7250

District 10: Armstrong, Butler, Clarion, Indiana, **Tefferson**

Phone: 724-357-2800

District 11: Allegheny, Beaver, Lawrence Phone: 412-429-5000

District 12: Fayette, Greene, Washington, Westmoreland Phone: 724-439-7315

FOREST DISTRICTS OF PENNSYLVANIA

Department of Conservation and Natural Resources, Bureau of Forestry—http://www.dcnr.state.pa.us/forestry/dcontacts.htm

- 1) Michaux (Adams, Cumberland, Franklin, York) 10099 Lincoln Way East, Fayetteville, PA 17222, Phone: 717-352-2211
- 2) Buchanan (Bedford, Fulton) 440 Buchanan Trail, McConnellsburg, PA 17233-8204, Phone: 717-485-3148
- 3) Tuscarora (Juniata, Perry) R.R. 1 Box 486, Blain, PA 17006, Phone: 717-536-3191
- 4) Forbes (Allegheny, Fayette, Greene, Somerset, Washington, Westmoreland) PO Box 519, Laughlintown, PA 15655, Phone: 724-238-1200
- 5) Rothrock (Centre, Huntingdon) PO Box 403, Rothrock Lane, Huntingdon, PA 16652, Phone: 814-643-2340
- 6) Gallitzin (Blair, Cambria, Indiana) PO Box 506, Ebensburg, PA 15931, Phone: 814-472-1862
- 7) Bald Eagle (Mifflin, Snyder, Union) PO Box 147, Laurelton, PA 17835, Phone (570-922-3344
- 8) Kittanning (Armstrong, Beaver, Butler, Clarion, Jefferson, Lawrence, Mercer) 158 S. Second Avenue, Clarion, PA 16214-1904 Phone: 814-226-1901
- Moshannon (Clearfield) 3372 State Park Road, Penfield, PA 15849-9502 Phone: 814-765-0821
- 10) Sproul (Clinton) 15187 Renovo Road, Renovo, PA 17764 Phone: 570-923-6011
- 11) Lackawanna (Lackawanna, Susquehanna, Wayne, Wyoming) 401 Samters Bldg., 101 Penn Avenue, Scranton, PA 18503 Phone: 570-963-4561
- 12) Tiadaghton (Lycoming) 423 East Central Avenue, South Williamsport, PA 17702, Phone: 570-327-3450
- 13) Elk (Cameron, Elk) 258 Sizerville Road, Emporium, PA, 15834, Phone: 814-486-3353
- 14) Cornplanter (Crawford, Erie, Forest, Venango, Warren) 323 N. State Street, North Warren, PA 16365, Phone: 814-723-0262
- 15) Susquehannock (McKean, Potter) PO Box 673, Coudersport, PA 16915-0673, Phone: 814-274-3600
- 16) Tioga (Bradford, Tioga) 1 Nessmuk Lane, Wellsboro, PA 16901, Phone: 570-724-2868
- 17) Valley Forge (Berks, Bucks, Chester, Delaware, Lancaster, Montgomery, Philadelphia) 845 Park Road, Elverson, PA 19520-9523, Phone: 610-582-9660
- 18) Weiser (Carbon, Dauphin, Lebanon, Lehigh, Schuylkill) PO Box 99, Cressona, PA 17929, Phone: 570-385-7800
- 19) Delaware (Northampton, Monroe, Pike) HC 1, Box 95A, Swiftwater, PA 18370-9723, Phone: 570-895-4000
- 20) Wyoming (Columbia, Luzerne, Montour, Northumberland, Sullivan) 274 Arbutus Park Road, Bloomsburg, PA 17815, Phone: 570-387-4255

